

# The Imperative of a Global Movement to Improve the Safety of our Patients

Time to move to a cultural era in patient safety of values, ethics and leadership at every level

Professor Mike Durkin  
Norwegian Association of Senior Doctors  
Scandic Ishavshotellet Tromsø, Norway  
April 28, 2023

INSTITUTE OF  
GLOBAL HEALTH  
INNOVATION

# Queens Tower Imperial College London

**NHS**  
National Institute for  
Health Research



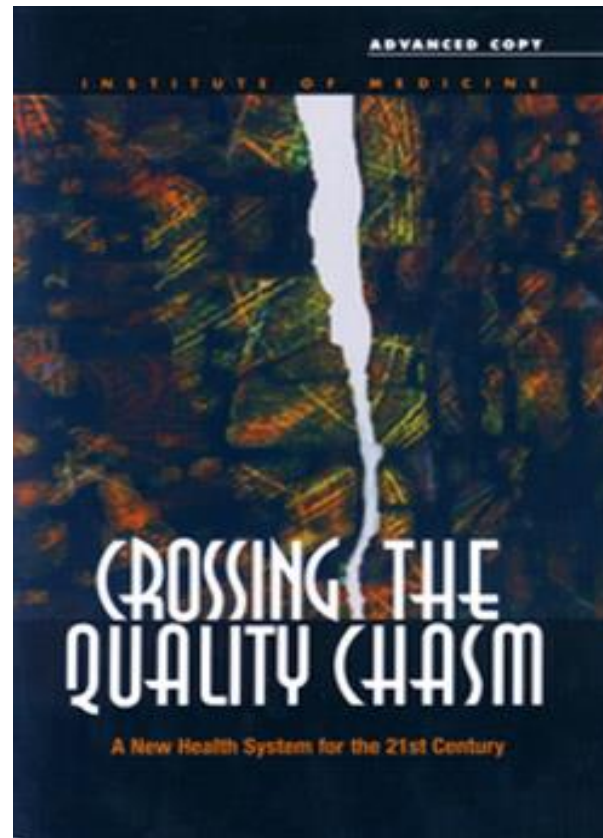
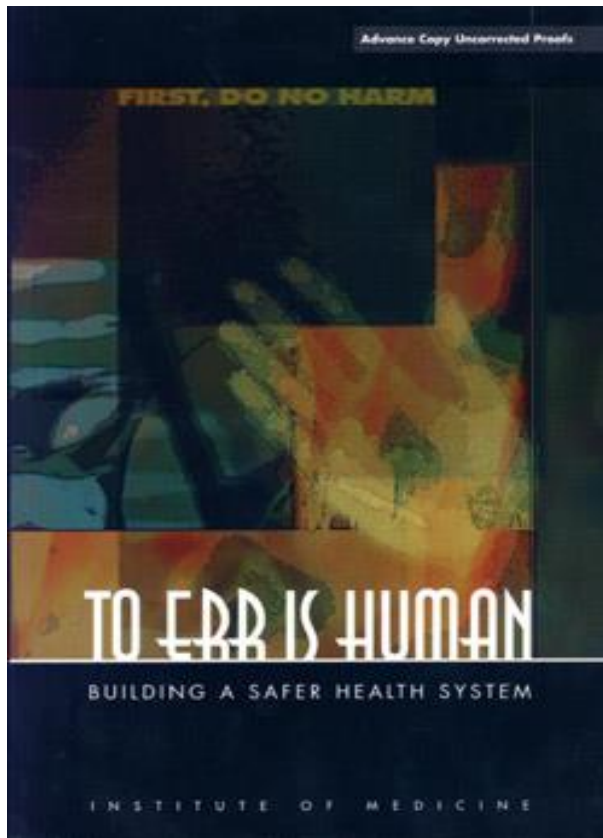
## Out-of-hours NHS services failed three-year-old boy who died after suffering flu and a chest infection by not sending him to A&E

- Sam Morrish died at Torbay Hospital in South Devon in December 2010
- His parents took him to see health professionals four times in 36 hours
- Devastated family determined to find out why their son was allowed to die
- Scott and Susanna Morrish say they have been let down by the NHS
- Report by Health Service Ombudsman expected to be published this week

By VANESSA ALLEN FOR THE DAILY MAIL

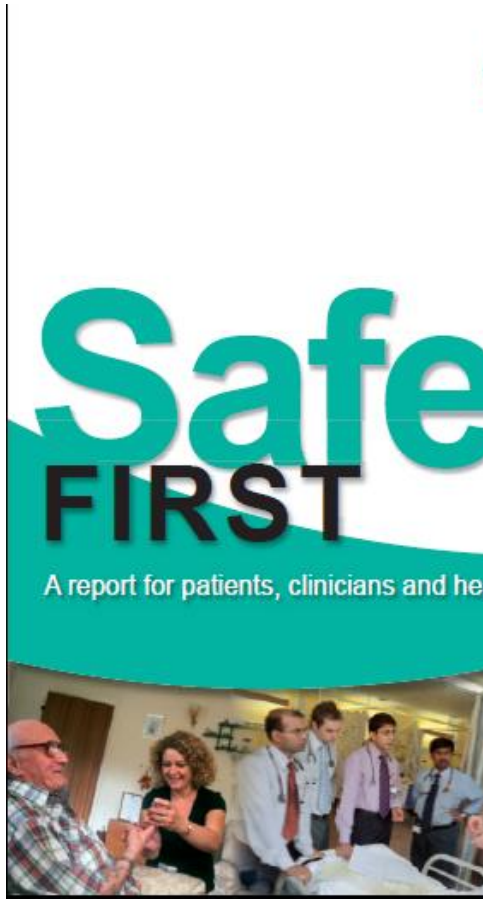
PUBLISHED: 17:45, 22 June 2014 | UPDATED: 18:25, 26 June 2014





- **Quality** defines six aims:
  - safe,
  - effective,
  - patient-centered,
  - timely,
  - efficient and
  - equitable

# The UK Response



Yorkshire and the Humber

High Quality Care For All

NHS Next Stage Review Final Report

*An organisation with a memory*

Department of Health

*An organisation with a memory*

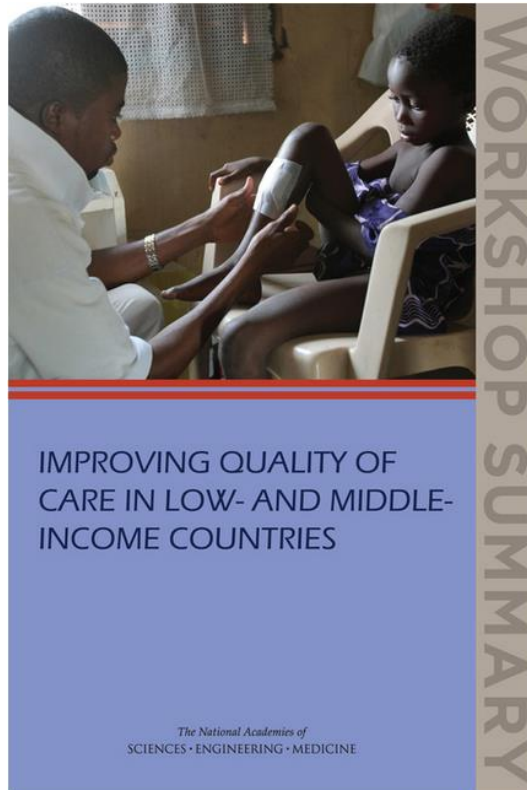
Report of an expert group on learning from adverse events in the NHS chaired by the Chief Medical Officer

*An organisation with a memory*

# Twenty Years On: Now Recognised as a Global Challenge

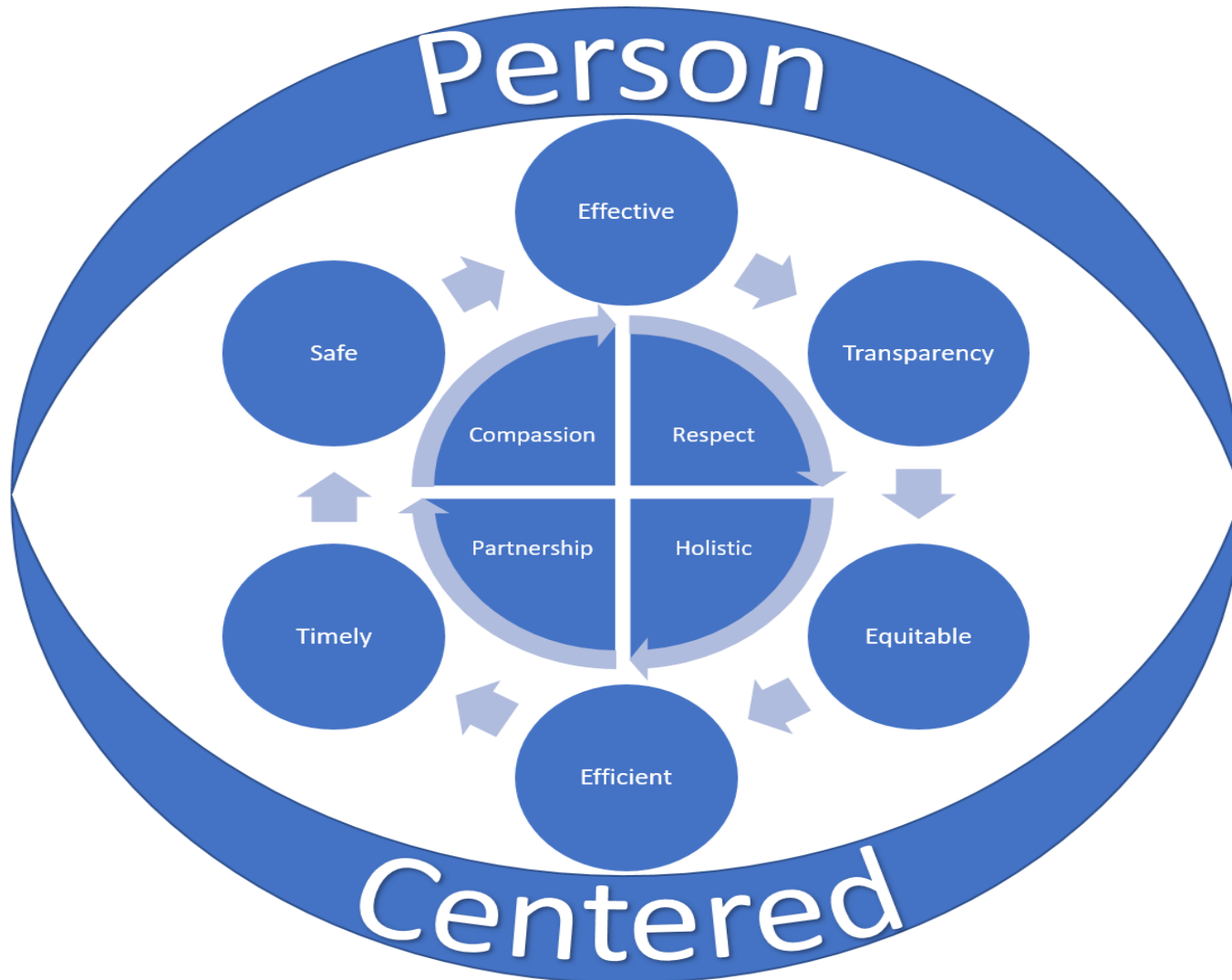


## Quality of Care in Low & Middle Income Countries



- The probability of a patient receiving the correct diagnosis is, depending on other factors, in the range of 30 to 50 percent (Jishnu Das)
- The probability of a patient receiving non-harmful treatment found a likelihood of about 45 percent (Jishnu Das)

# ISQua's Values and Principles of Person-Centred Care (2015)





Tracking Home

Data Visualizations

**Global Map**

U.S. Map

Data in Motion

Tracking FAQ



# COVID-19 Dashboard

by the Center for Systems Science and Engineering (CSSE) at Johns Hopkins University (JHU)



14,092,000 14,000

Total Cases  
**591,293,798**

Total Deaths  
**6,438,307**

Total Vaccine Doses Administered  
**12,031,958,873**

28-Day Cases  
**27,500,655**

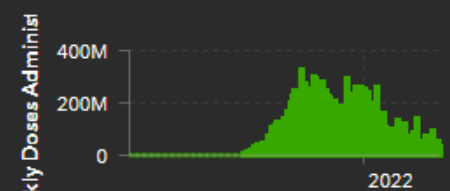
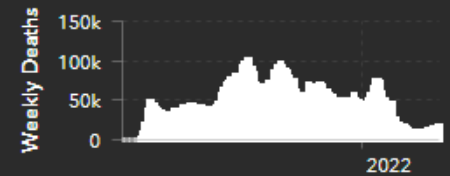
28-Day Deaths  
**67,764**

28-Day Vaccine Doses Administered  
**199,482,733**

Cases | Deaths by  
Country/Region/Sovereignty

**Japan**  
28-Day: **5,400,367** | **3,785**  
Totals: **15,795,075** | **35,401**

**US**  
28-Day: **3,294,654** | **12,911**  
Totals: **93,030,001** | **1,037,484**



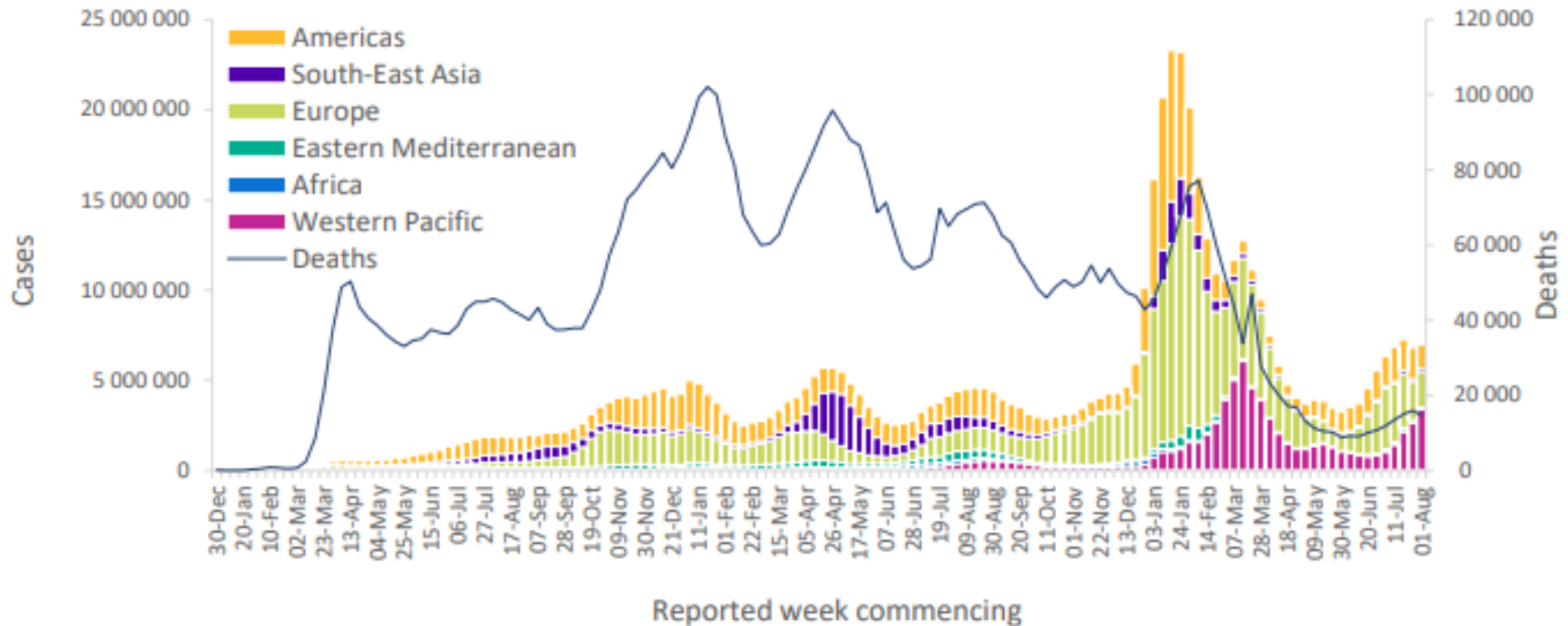
Admin0

28-Day

Weekly

28-Day

Figure 1. COVID-19 cases reported weekly by WHO Region, and global deaths, as of 7 August 2022\*\*





***“...the aim of leadership is not merely to find and record failures of men, but to remove the causes of failure: to help people to do a better job with less effort.”***

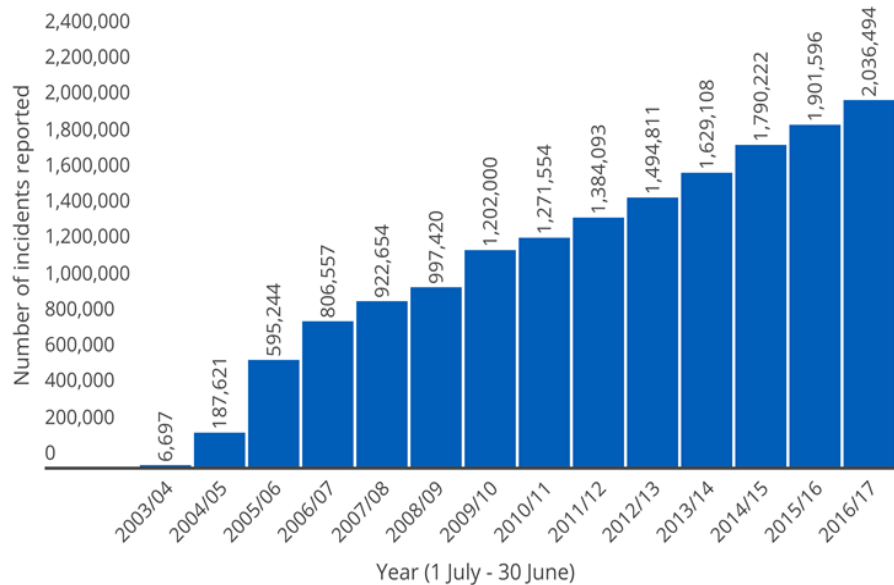
***“In God we trust, all others bring data.”***

***Dr W. Edwards Deming***

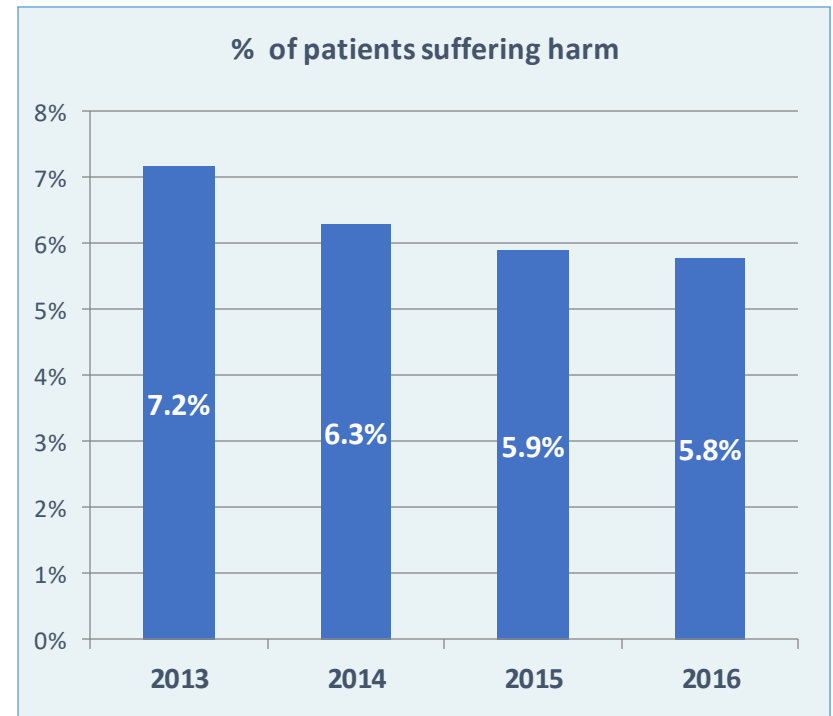


# Our Cultural Shift to Increase the Reporting of Error and Learning to Reduce Harm

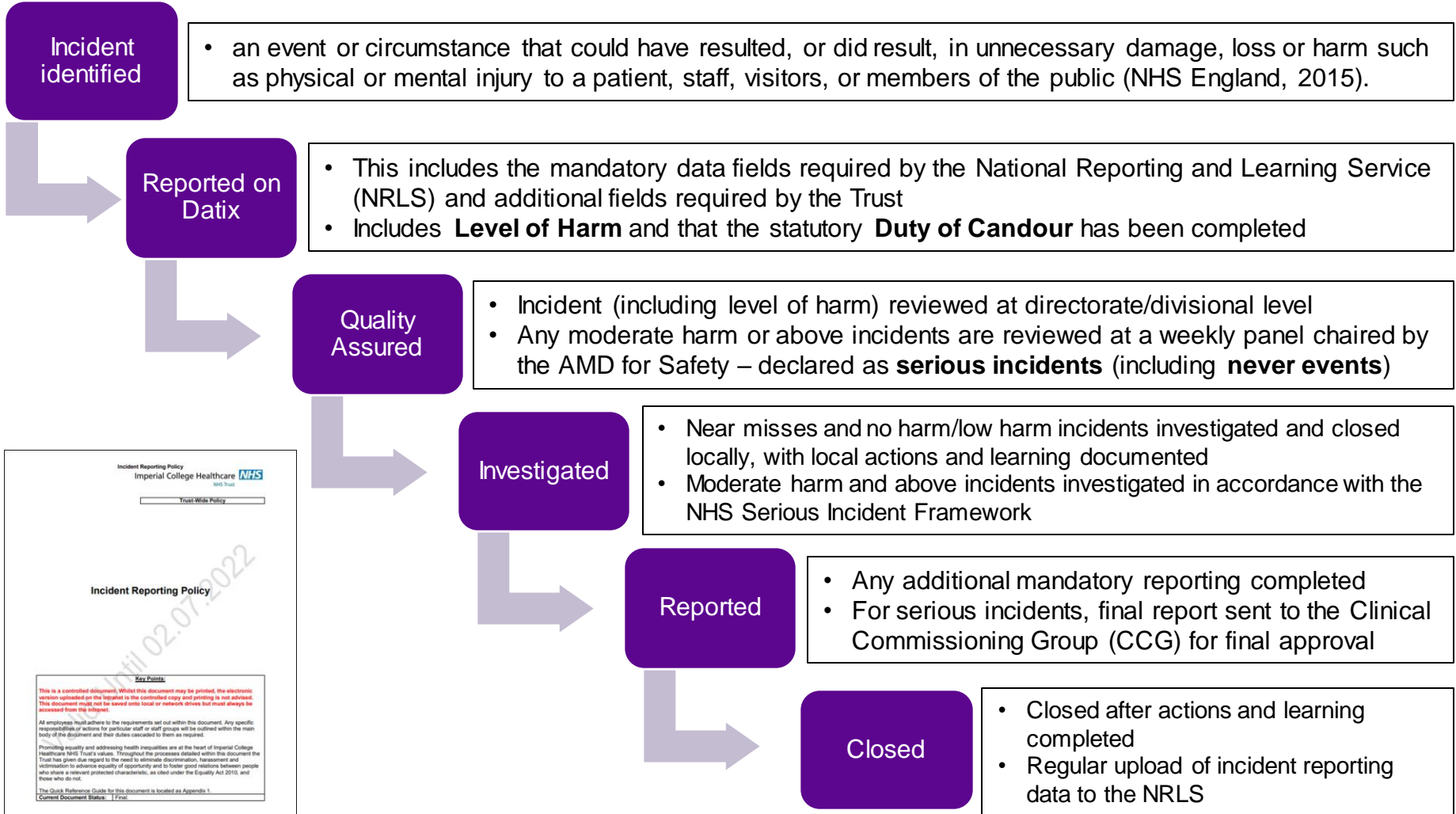
Total patient safety incidents reported to NRLS 1 July to 30 June each year since October 2003 launch (all geographical locations)



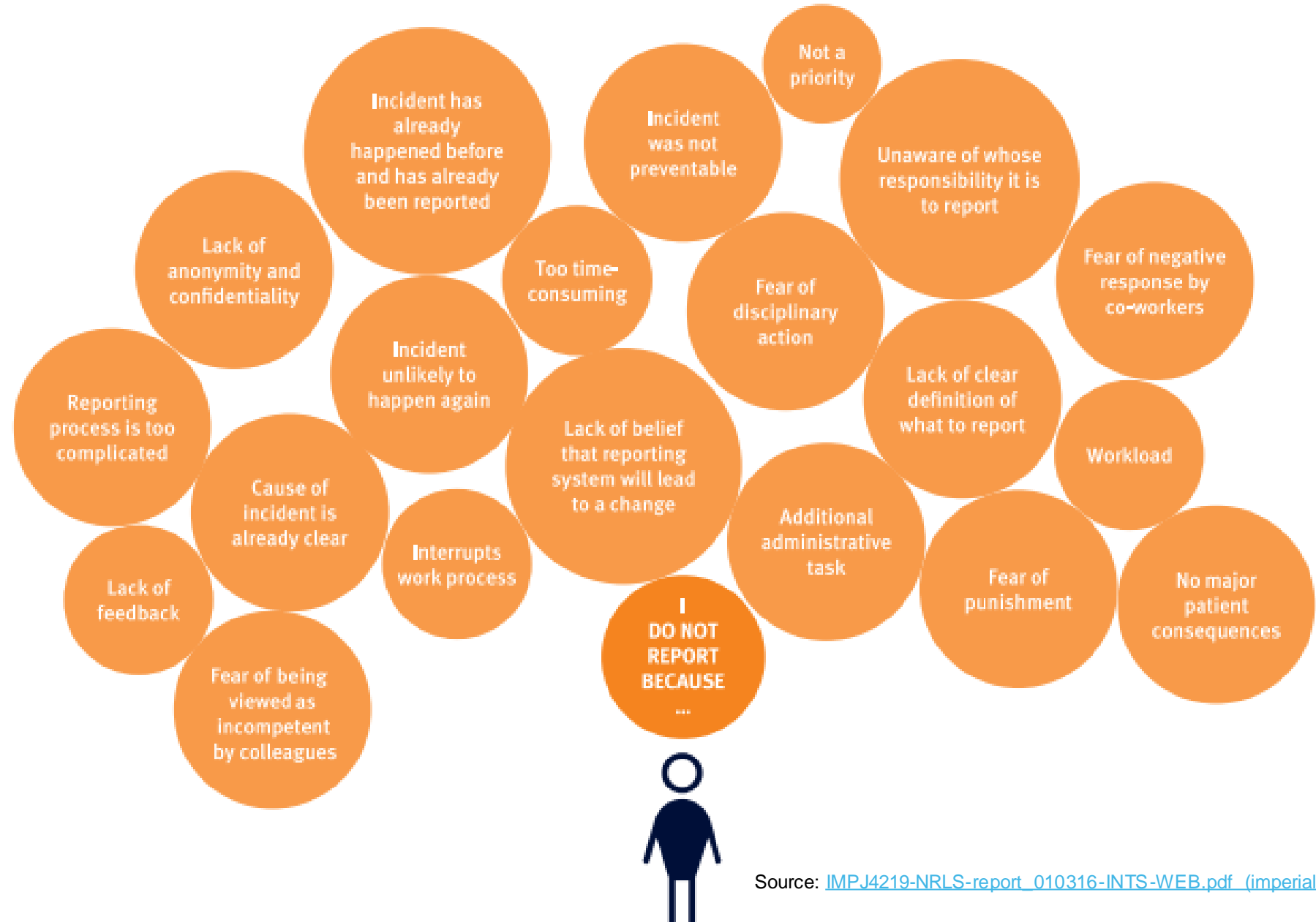
An estimated 86,000 fewer patients have suffered harmed due to falling harm rates from 7.2% of patients in 2013 to 5.8% in 2016.



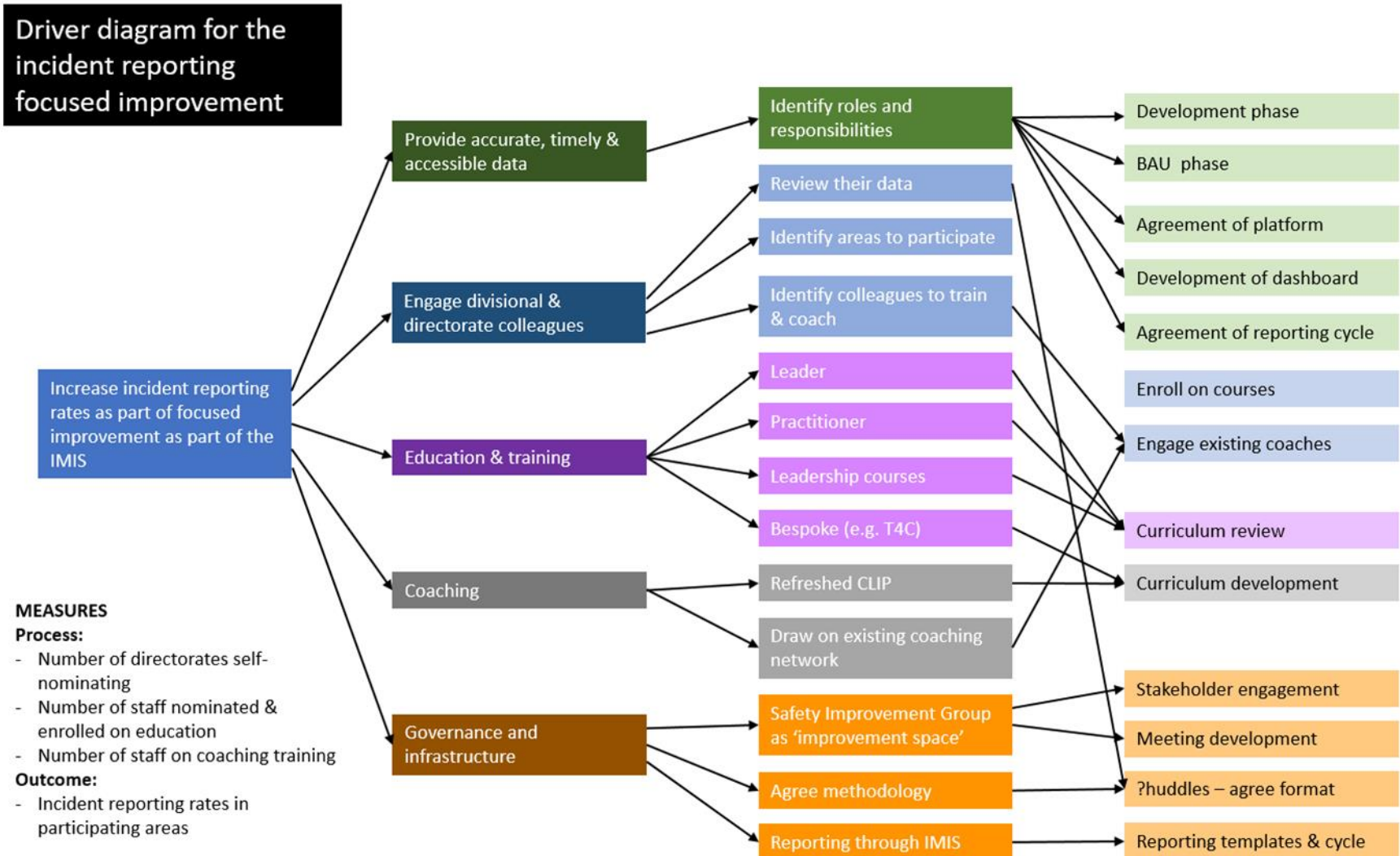
# How our system works



# Some of the barriers to incident reporting

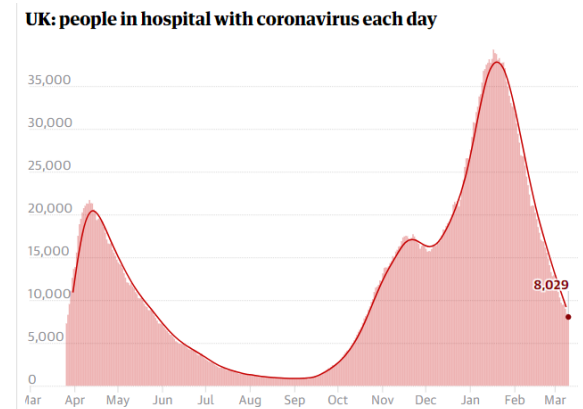
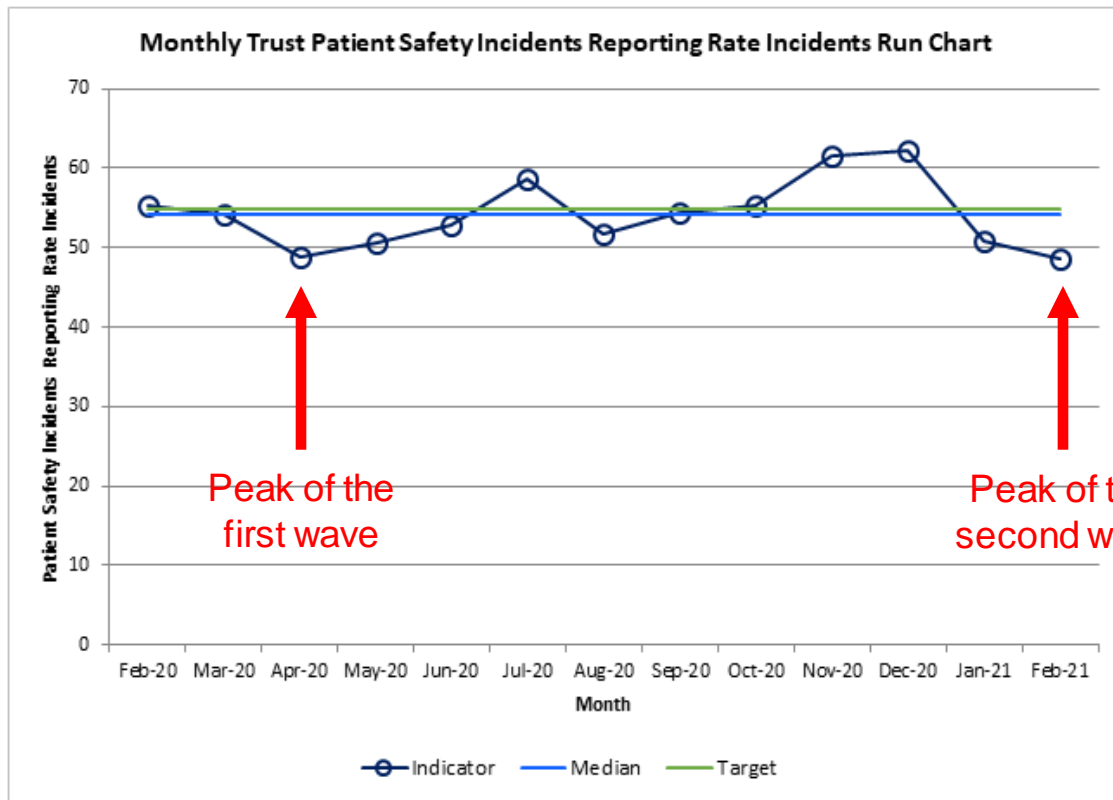


# Some of the barriers to incident reporting



# What do we report?

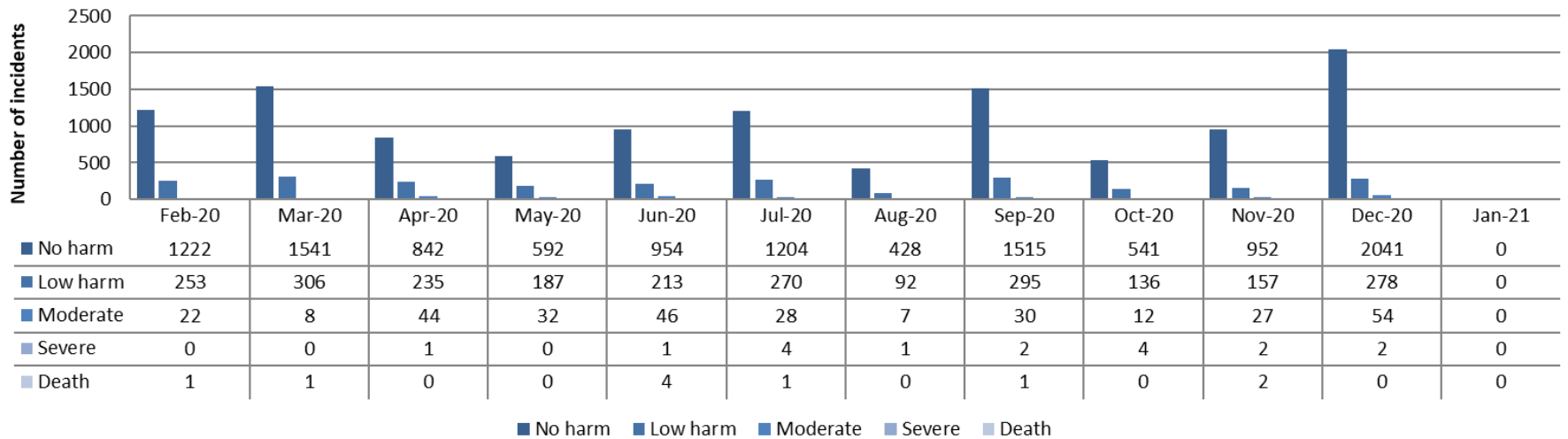
- Incident reporting rates are calculated per 1,000 bed days on a national level by the NRLS to ensure that they are comparable across different trusts.





# What do we report?

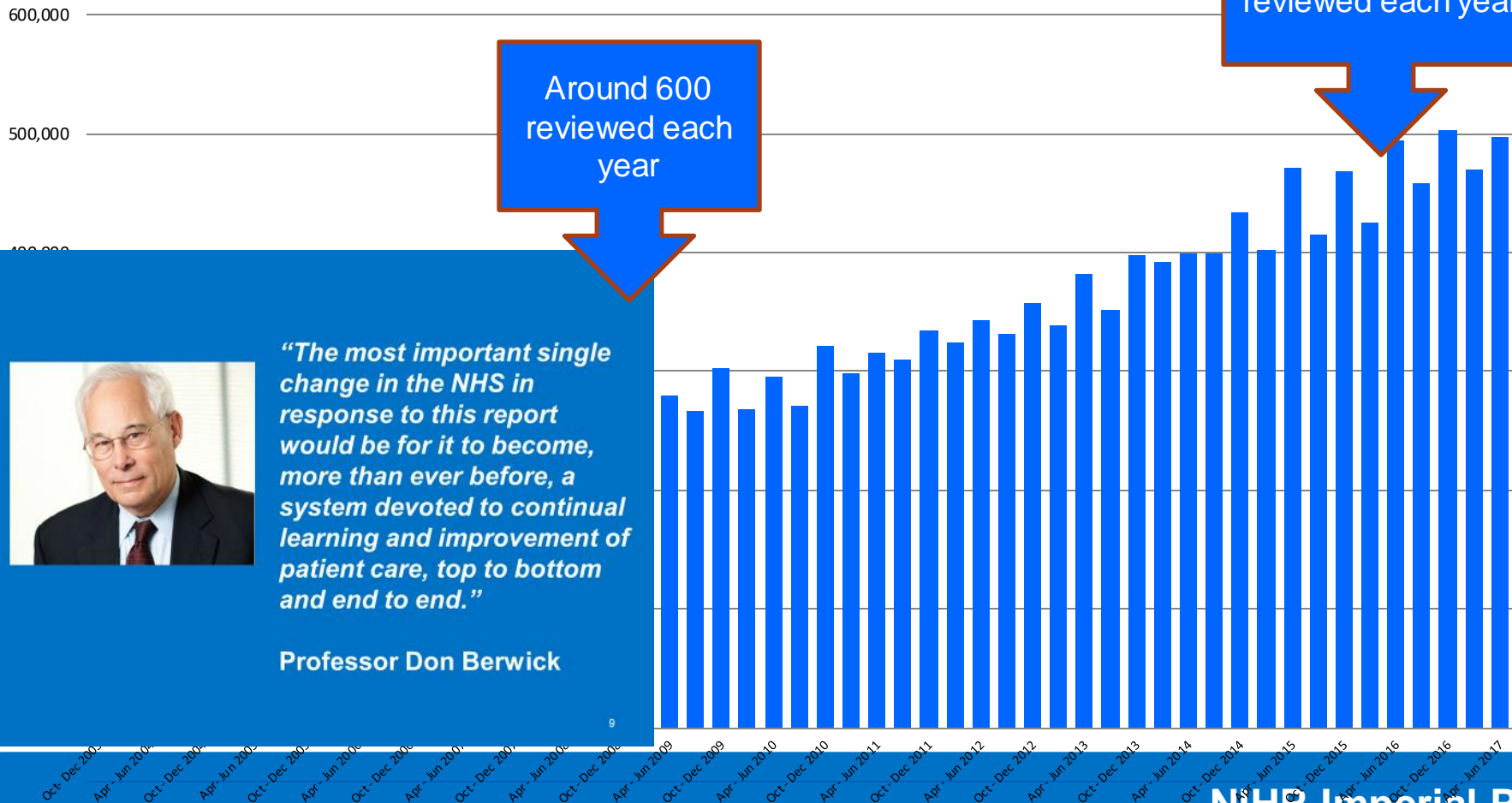
**Breakdown of all Degrees of Harm reported by IMPERIAL COLLEGE HEALTHCARE  
NHS TRUST, Feb-20 to Jan-21**



- Ratio of moderate or above: no/low harm & near miss has changed during the pandemic
- Change in the type of incident being reported (increase in pressure ulcers, failure to recognise the deteriorating patient, lack of beds, staffing incidents and hospital onset COVID-19 infections (HOCl))

# Learning not counting

Chart 1.1: Number of incidents in England, reported by quarter from Oct 2003 - Jun 2017



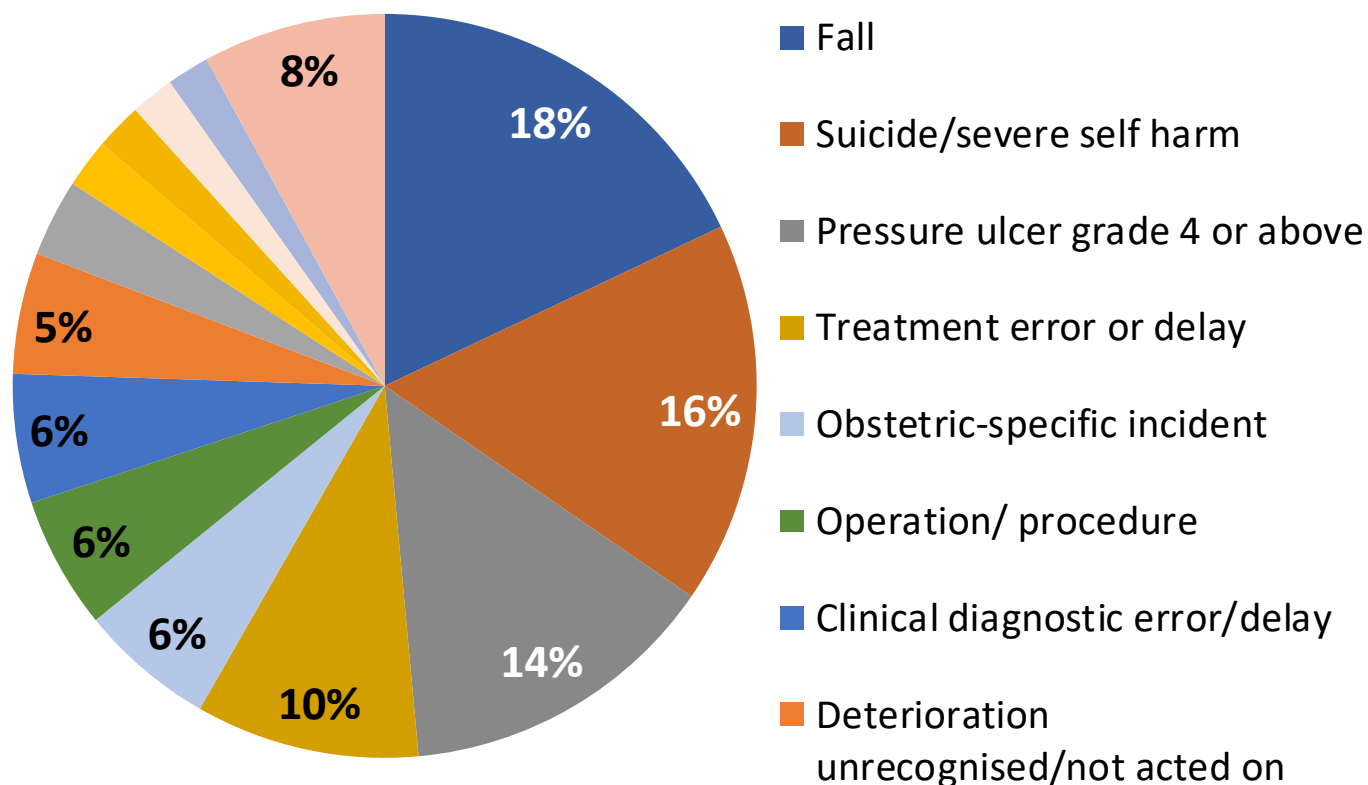
Around 600 reviewed each year

Around 60,000 reviewed each year

*"The most important single change in the NHS in response to this report would be for it to become, more than ever before, a system devoted to continual learning and improvement of patient care, top to bottom and end to end."*

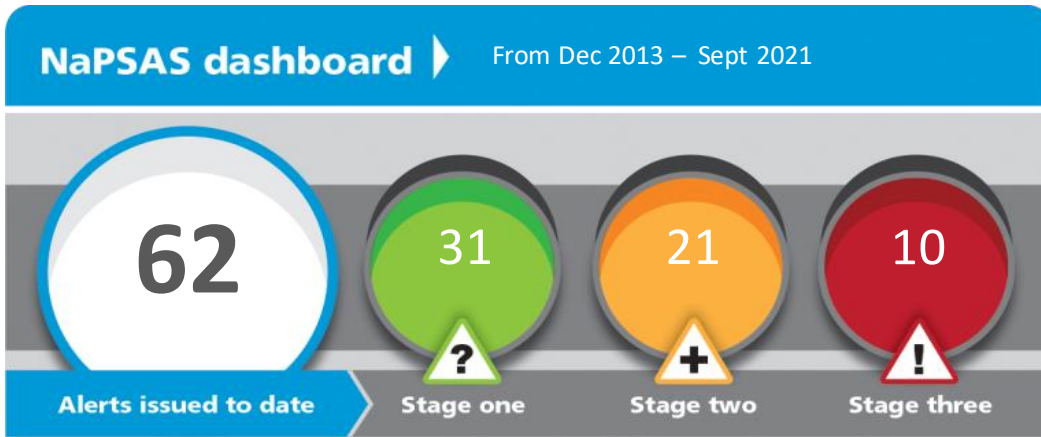
Professor Don Berwick

# Most death and severe harm sadly fall into known themes; the ‘giants’ of patient safety



All care settings: death and severe harm themes reported to NRLS for one recent year after clinical review

# UK national patient safety alerting system



## The role of National Patient Safety Alerting Committee (NaPSAC)

- Developing common standards and thresholds for National Patient Safety Alerts.
- Developing a single recognisable consistent format for National Patient Safety Alerts.
- Overseeing the development of a process to ensure all alert issuers reach these common standards and thresholds.

**Risk of harm from inappropriate placement of pulse oximeter probes**  
18 December 2018

**Alert reference number:** NPSAF02010008

**Director alert**

**Why:** All organisations providing NHS funded care where oxygen saturation probes are used as part of routine or emergency monitoring of patients.

**When:** To commence immediately and actions completed by 18 June 2019.

**Actions:**

- Why: All organisations providing NHS funded care where oxygen saturation probes are used as part of routine or emergency monitoring of patients.
- When: To commence immediately and actions completed by 18 June 2019.

**Confirming removal or flushing of lines and cannulae after procedures**  
9 November 2017

**Alert reference number:** NPSAF02010006

**Director alert**

**Why:** All hospitals and other units that undertake surgical interventions or other procedures involving anaesthetics or intravenous sedation for NHS-funded patients.

**When:** To begin as soon as possible and be completed by August 2018.

**Actions:**

- Why: All hospitals and other units that undertake surgical interventions or other procedures involving anaesthetics or intravenous sedation for NHS-funded patients.
- When: To begin as soon as possible and be completed by August 2018.

**Management of life threatening bleeds from arteriovenous fistulae and grafts**  
12 November 2018

**Alert reference number:** NPSAF02010007

**Resource alert**

**Why:** All organisations providing NHS funded care where patients with AVF/AVGs may attend, including GP services and ambulance trusts.

**When:** To commence immediately and be completed by 15 May 2019.

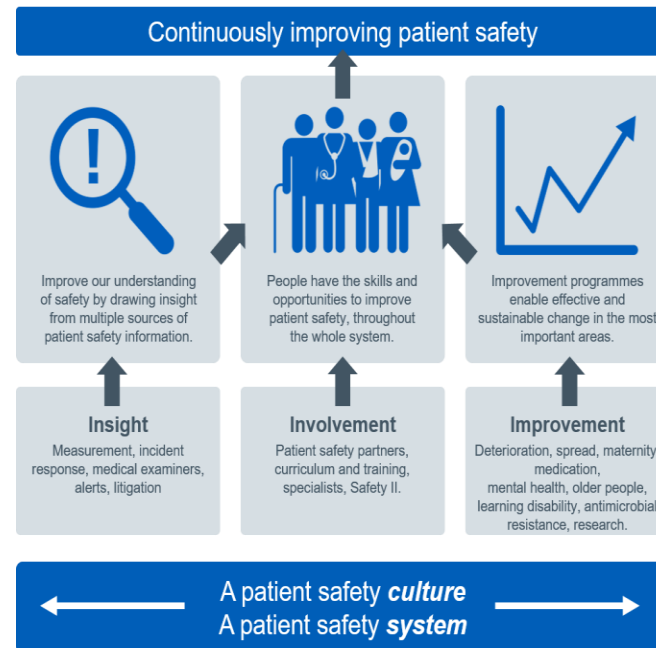
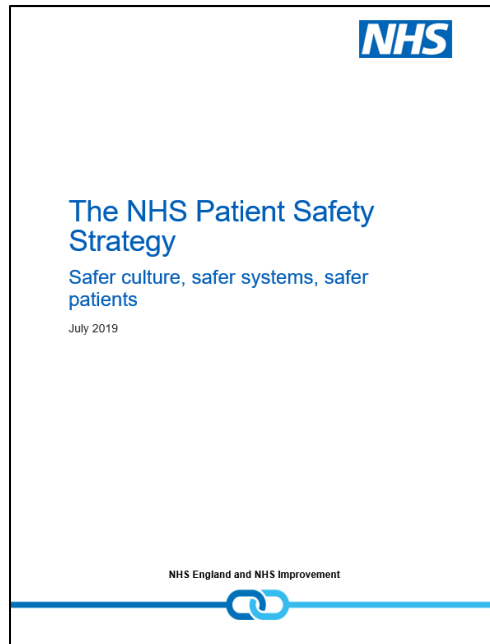
**Actions:**

- Why: All organisations providing NHS funded care where patients with AVF/AVGs may attend, including GP services and ambulance trusts.
- When: To commence immediately and be completed by 15 May 2019.

# Collaborative – core clinical priorities

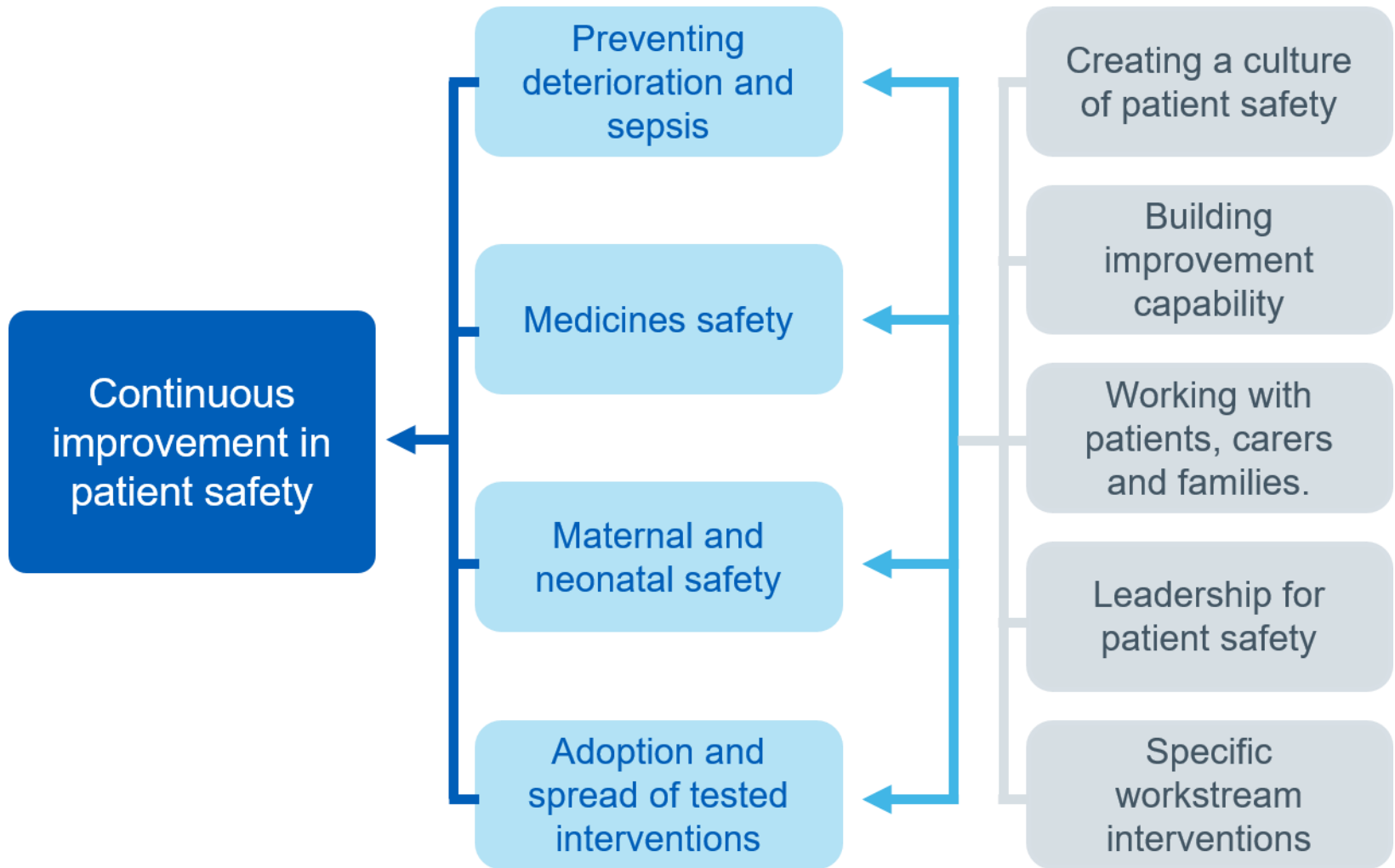
Topic area	Patient Safety Topic									
The 'essentials'	Leadership				Measurement					
NHS Outcomes Framework improvement areas	Falls		Venus Thromboembolism		Healthcare Associated Infections		Pressure Ulcers		Maternity	
Other major sources of death and severe harm	Nutrition and Hydration	Handover and Discharge	Missed and Delayed Diagnosis	Medical Device Error	Acute Kidney Injury	Medication Errors	Sepsis	Avoidable Deterioration of Adults and Children		
Vulnerable groups for whom improving safety is a priority	People with Mental Health needs		People with Learning Disabilities		Children		Offenders		Acutely Ill Older People	Transition between paediatric and adult care

# The NHS Patient Safety Strategy

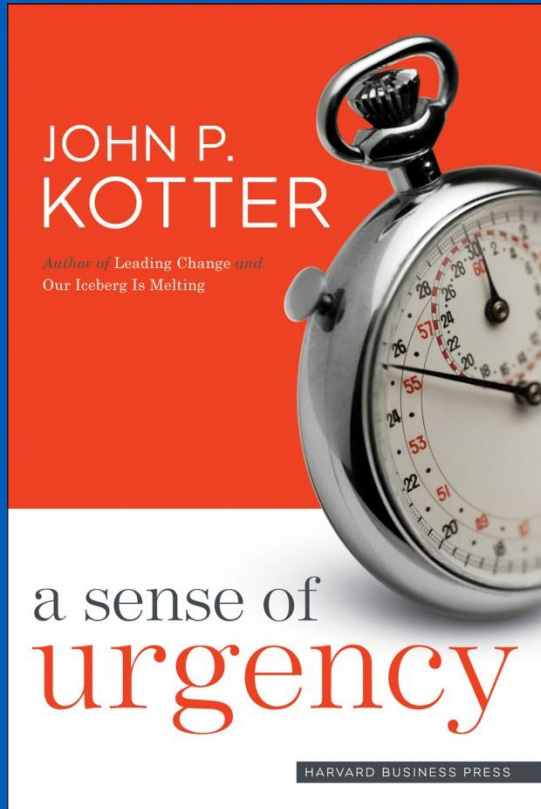


Safer culture, Safer systems, Safer patients

# The National Patient Safety Improvement Programme



# Urgency as a driver for change



*“When people have a true sense of urgency, they think that action on critical issues is needed now, not eventually, not when it fits easily into a schedule. Now means making real progress every single day.”*

John Kotter





# Creating urgency through transparency

## Our work: Consultant outcomes

- Successful publication of surgeon level data from national clinical audits
- Across 12 specialties
- Helping the NHS drive up quality of care



Performance data for almost 5,000 surgeons in England has been released by the NHS in a move towards greater transparency.

Related Stories

# Transparency means accepting risk to reputations



## Health

### A&E in England misses target for whole of winter

By Nick Trigg  
Health correspondent

13 March 2015 | Health



The NHS in England has missed its A&E waiting time target for every week of winter, figures show.



### NHS hospital waiting time figures worst in seven years

Almost 40,000 admitted patients not starting consultant-led treatment within 18 weeks of referral



The median waiting time to begin treatment reached a record 10 weeks in February. Photograph: Christopher Furlong/Getty Images

The number and proportion of NHS hospital patients in England waiting more than 18 weeks to begin treatment have risen to their highest levels in almost seven years, [official statistics show](#).

*“When you feel like running away from the patient, run toward the patient.”*

**Paulina Kernberg**





## The Telegraph

### Meet the NHS whistle-blowers who exposed the truth

As Sir Robert Francis prepares to publish the first independent review of the treatment of whistle-blowers in the NHS, we look at some of those whose disturbing experiences led to the review:

 213  378  0  30  621  Email



NHS whistleblowers

## Health

### NHS 'to get whistleblower guardians'

By Nick Triggle  
Health correspondent, BBC News

11 February 2015 | Health



**NHS trusts will have to appoint a guardian to help whistleblowers in England, ministers have confirmed.**

The measure was called for by Sir Robert Francis after he warned staff too often faced "bullying and being isolated" when they tried to speak out.

Sir Robert, who led the public inquiry into the Stafford Hospital scandal, also said a new national officer should be appointed to help the guardians.

The government immediately accepted all his recommendations.

Health Secretary Jeremy Hunt said: "If we don't get the culture right we will never deliver the ambitions we have for the NHS."

# The Genesis of HSIB: The Public, Parliament and Practitioners developing Policy



*Learning from  
failure: the need  
for independent  
safety  
investigation in  
healthcare”*



# Healthcare Safety Investigation Branch - EAG Recommendations



*Improvement*

## **INDEPENDENCE, ENGAGEMENT AND LEARNING**

1. Must be independent in structure and operation
2. Investigations must be to understand causes of harm, to support improvement, not to apportion blame
3. Patients, families and staff must be active, supported participants

## **SYSTEM-WIDE INVESTIGATION AND IMPROVEMENT**

4. Must be empowered to investigate safety incidents anywhere across the entire healthcare system
5. Investigations must be led by experts in safety investigation and HSIB should provide leadership to the whole system on investigation
6. Investigation reports must explain causes of incidents and make recommendations
7. Reports must be public documents and recipients must publish responses

## **JUST CULTURE: TRUST, HONESTY AND FAIRNESS**

8. Must promote creation of a 'just' safety culture
9. Must provide families and patients with all relevant information from an investigation about their care while protecting all information from use by other bodies or for other purposes
10. Information must be provided to investigators honestly and openly. Where evidence shows wrongdoing, negligence or unlawful activity the relevant body must be informed.

## **FURTHER ACTIONS REQUIRED ACROSS THE HEALTHCARE SYSTEM**

11. Recommend a 'Just Culture' Task Force be established to make further recommendations about moving healthcare to a just culture
12. Recommend a programme of capacity building and improvement of safety investigation
13. Recommend a process to provide truth, justice and reconciliation in relation to unresolved cases



House of Lords  
House of Commons  
Joint Committee on the  
Draft Health Service Safety  
Investigations Bill

## Draft Health Service Safety Investigations Bill: A new capability for investigating patient safety incidents

Report of Session 2017–19

*Report, together with formal minutes relating  
to the report*

*Ordered by the House of Lords to be  
printed 24 July 2018*

*Ordered by the House of Commons  
to be printed 24 July 2018*

HL Paper 180  
HC 1064  
Published on 2 August 2018  
by authority of the House of Lords and House of Commons



HOUSE OF LORDS

Library Briefing

## Debate on the Queen's Speech: Day 5 Health, Social Care, Education, Culture, Welfare and Pensions 22 October 2019

### Summary

This Lords Library Briefing is one of four prepared ahead of the five days of debate in the House of Lords on the Queen's Speech, scheduled to take place between 15 and 22 October 2019. This briefing looks at health, social care, education, culture, welfare and pensions.

The briefing identifies key bills that may be announced in the Queen's Speech

### Table of Contents

Health and Social Care  
Education  
Digital, Culture, Media  
and Sport  
Welfare



HOUSE OF LORDS

Library Briefing

## Health Service Safety Investigations Bill [HL] HL Bill 4 of 2019–20

On 29 October 2019, the second reading of the Health Service Safety Investigations Bill [HL] is scheduled to take place in the House of Lords.

### Summary

The [factsheet accompanying the bill](#) states its main objectives are to:

- establish the Health Service Safety Investigations Body (HSSIB) as a new independent arm's-length body with powers to conduct investigations into patient safety incidents that occur during the provision of NHS-funded services;





***“Every hospital should follow every patient it treats long enough to determine whether the treatment has been successful, and then to inquire ‘if not, why not’ with a view to preventing similar failures in the future.”***

***Ernest Amory Codman - 1914***



# Learning from Deaths



*“Every hospital should follow every patient it treats long enough to determine whether the treatment has been successful, and then to inquire ‘if not, why not’ with a view to preventing similar failures in the future.” Ernest Amory Codman 1914*

## Learning, candour and accountability

A review of the way NHS trusts  
review and investigate the  
deaths of patients in England

Original research

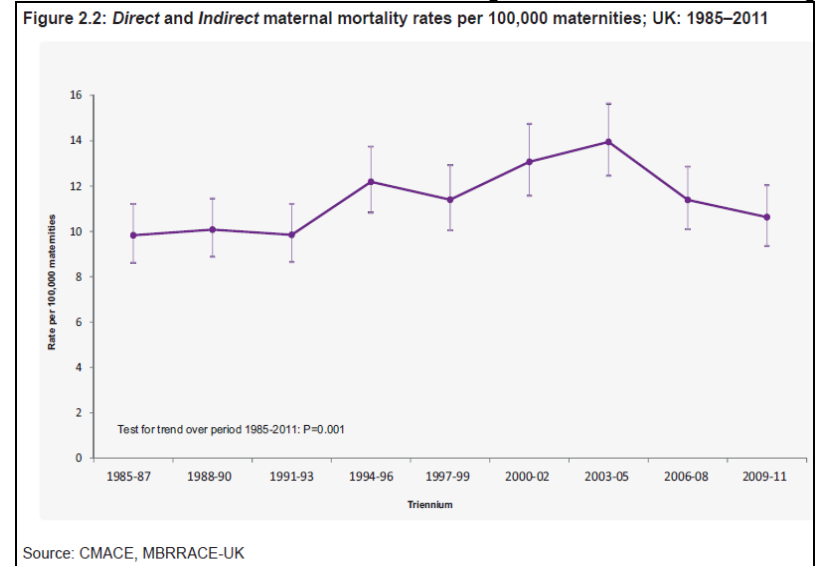
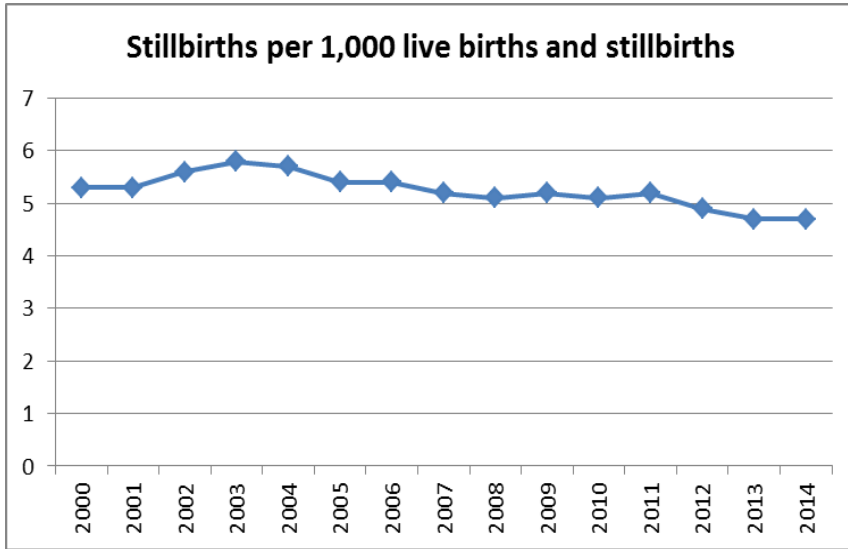
### Preventable deaths due to problems in care in English acute hospitals: a retrospective case record review study

Helen Hogan,<sup>1</sup> Frances Healey,<sup>2</sup> Graham Neale,<sup>3</sup> Richard Thomson,<sup>4</sup>  
Charles Vincent,<sup>3</sup> Nick Black<sup>1</sup>

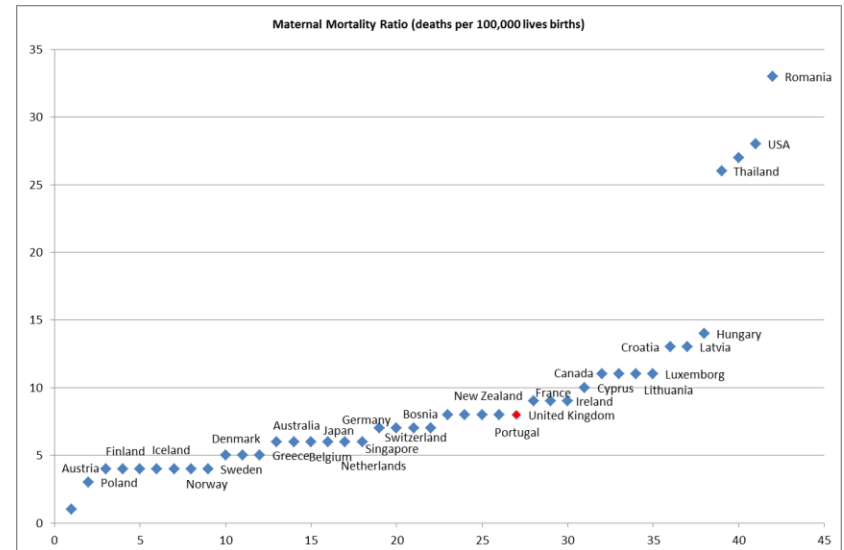
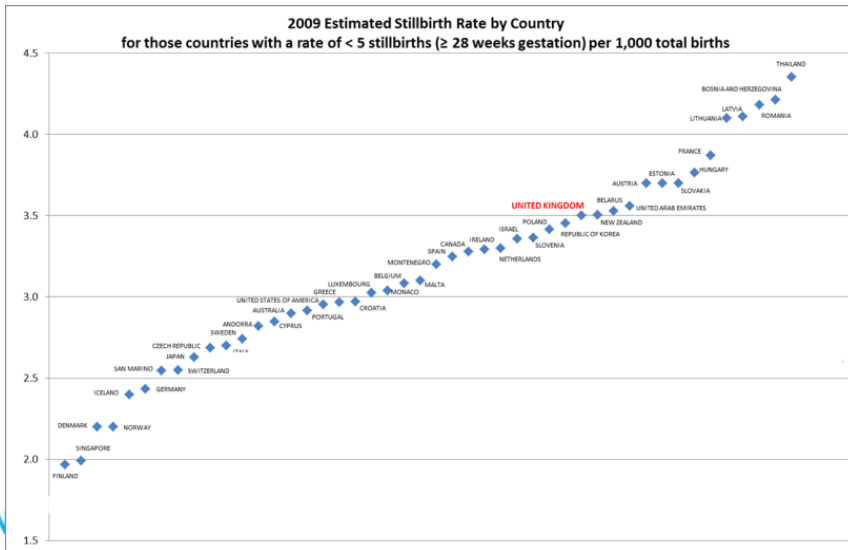
#### Range of related research proposed and in progress via Policy research programme:

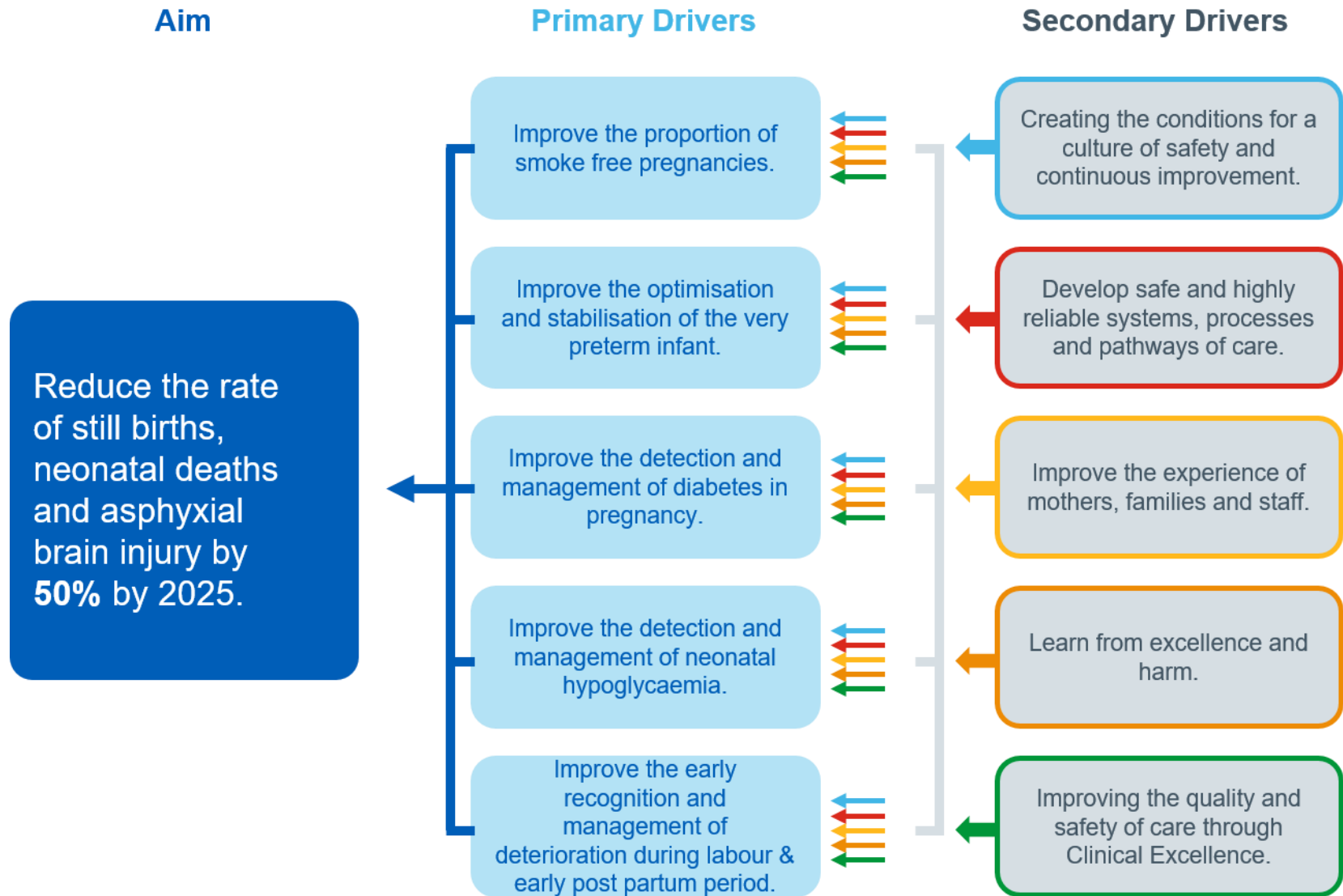
- Scale and nature of serious harm in primary care
- Scale and nature of severe harm due to problems in healthcare
- Medical Examiners and identification of preventable deaths due to problems in healthcare

# We have made some progress in recent years in reducing adverse outcomes in maternity services...

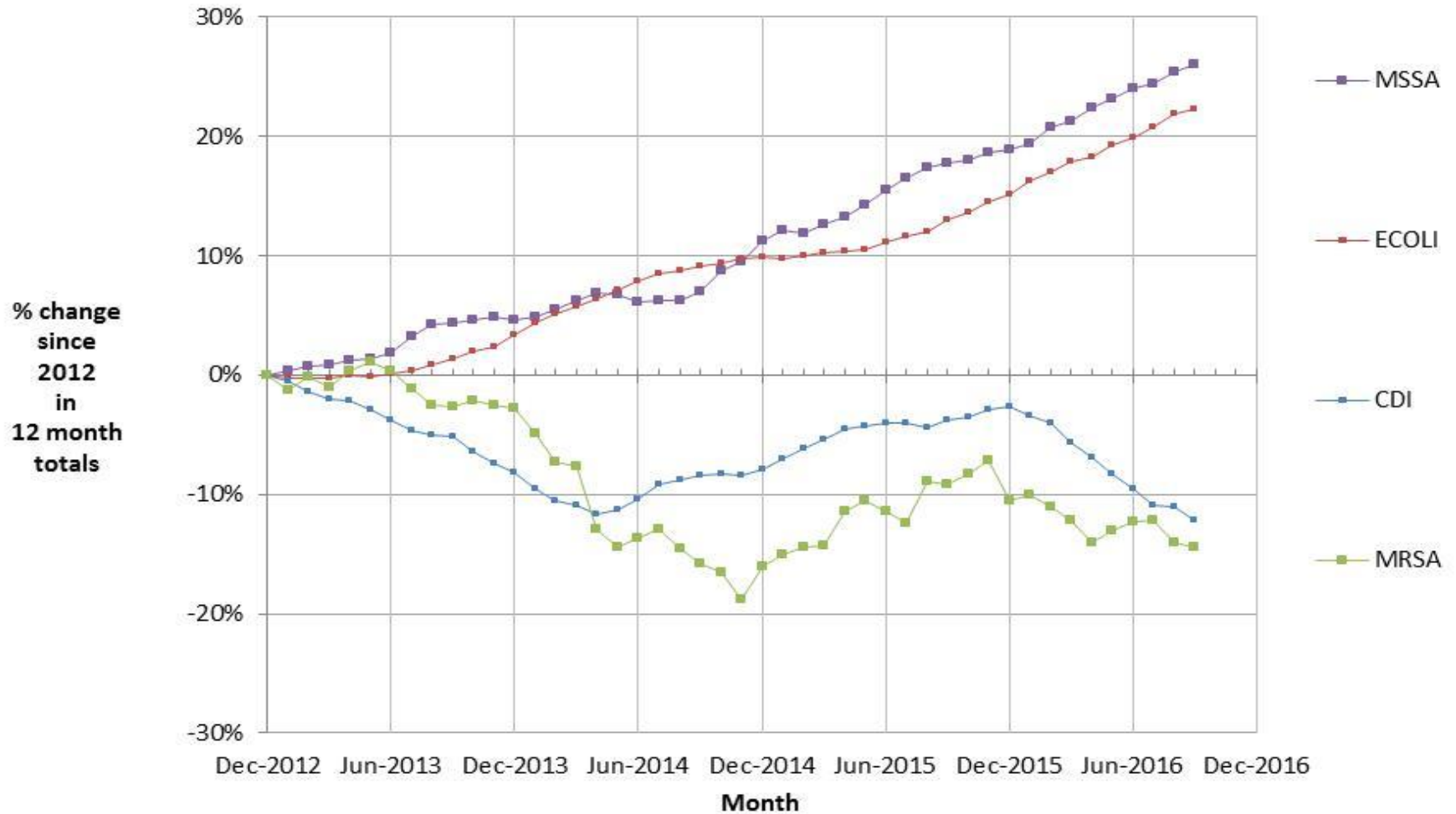


...but we need to stretch ourselves if we are to rank amongst the best countries in the world.





***C. difficile* infections and  
MRSA, MSSA and *E.coli* bloodstream infections  
% change in rolling 12 month totals since  
the calendar year 2012.  
December 2012 to September 2016**



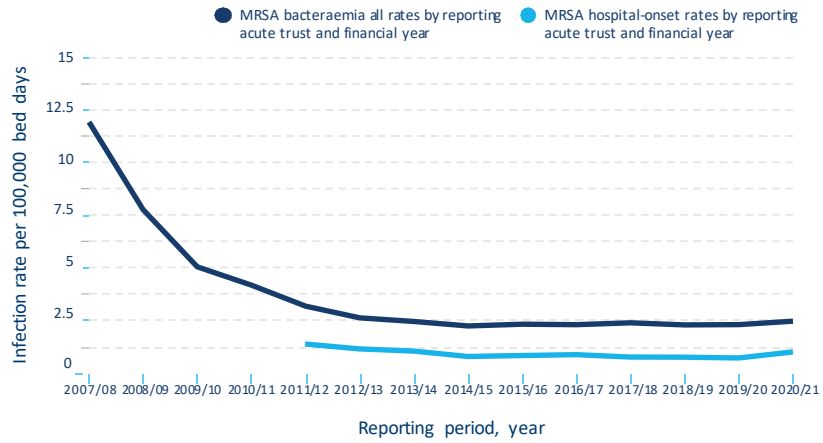


Figure 8: Rates of reported MRSA between 2007/08 and 2020/21.

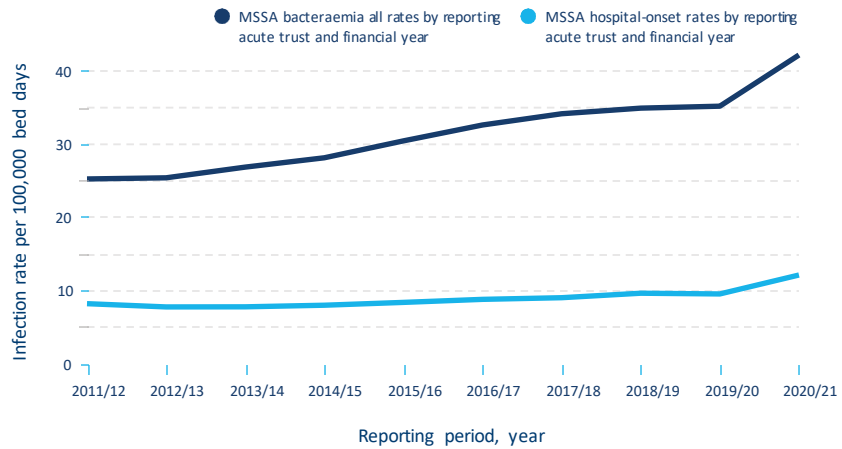


Figure 9: Rates of reported MSSA between 2011/12 and 2020/21.

# The VTE Journey



National Institute for Health Research

Adaptive strategy and consistent pressure ensures VTE prevention is made a clinical priority

NHS Prioritisation

Focal Point for Change



NHS Choices Self-assessment tool

Information Standard

National Patient Information Leaflets



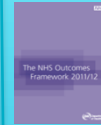
NICE CG92

National Institute for Health and Clinical Excellence

NICE QS3



dr foster health



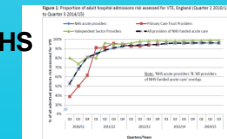
Commissioning Toolkit

CQUIN



VTE in NHS standard contract

New e-learning modules



Risk Assessment figs now at 96%

HSC Inquiry



2005



APPTG

2006

CMO announces national approach



2007

NICE CG46

National Institute for Health and Clinical Excellence

mapofmedicine



2008

Risk Assessment template



NHS



Leadership Summit

2009

RA data collection



2010

CQUIN goal reached

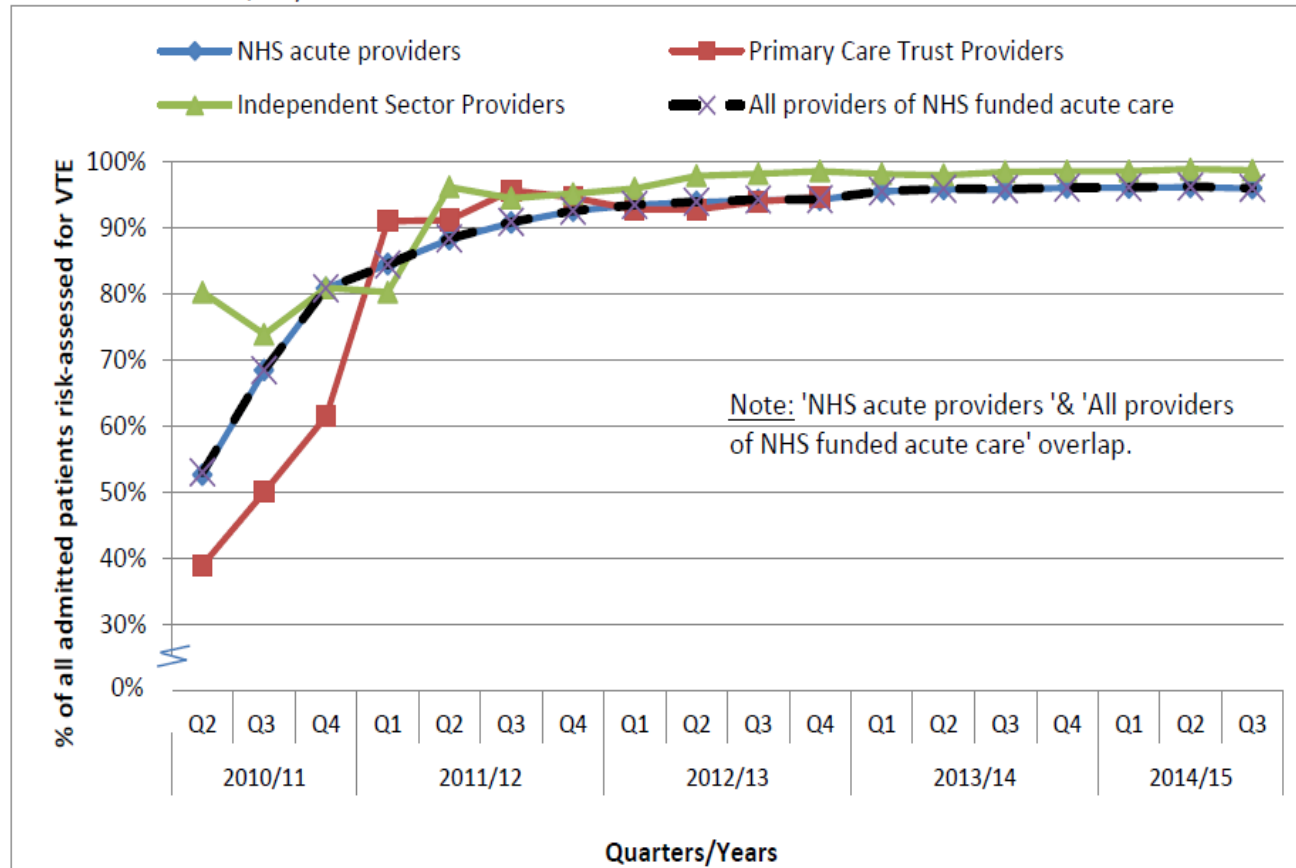


2011

Present

# The impact of CQUIN

Figure 1: Proportion of adult hospital admissions risk assessed for VTE, England (Quarter 2 2010/11 to Quarter 3 2014/15)

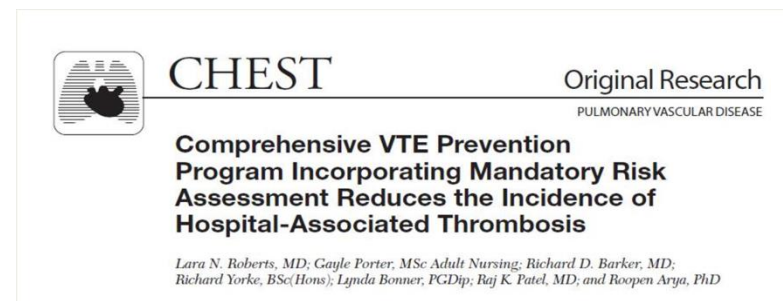




# Improving Outcomes

ONS data shows 9% reduction in VTE deaths since 2010  
Improvement corroborated by 3 studies:

- QI data at trust level: increased risk assessment, decrease in rates of HAT, increased rates of appropriate TP, reduction of inadequate prophylaxis,
- QuORU: 15% reduction in mortality nationally when 90% risk assessment goal reached
- Catterick & Hunt: around 940 deaths owing to VTE have been avoided in England.



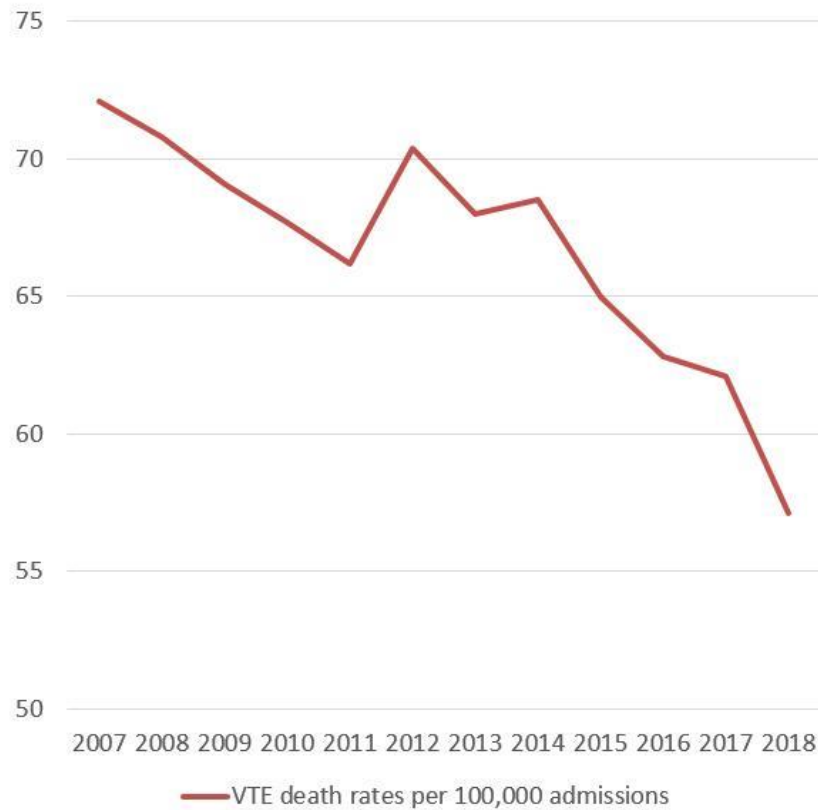
**Blood Coagulation and Fibrinolysis 2014, 25:00–00**

**Impact of the national venous thromboembolism risk assessment tool in secondary care in England: retrospective population-based database study**  
*David Catterick<sup>a,b</sup> and Beverly J. Hunt<sup>c</sup>*

# Post discharge VTE deaths in England 2007/08 to 2018/2019

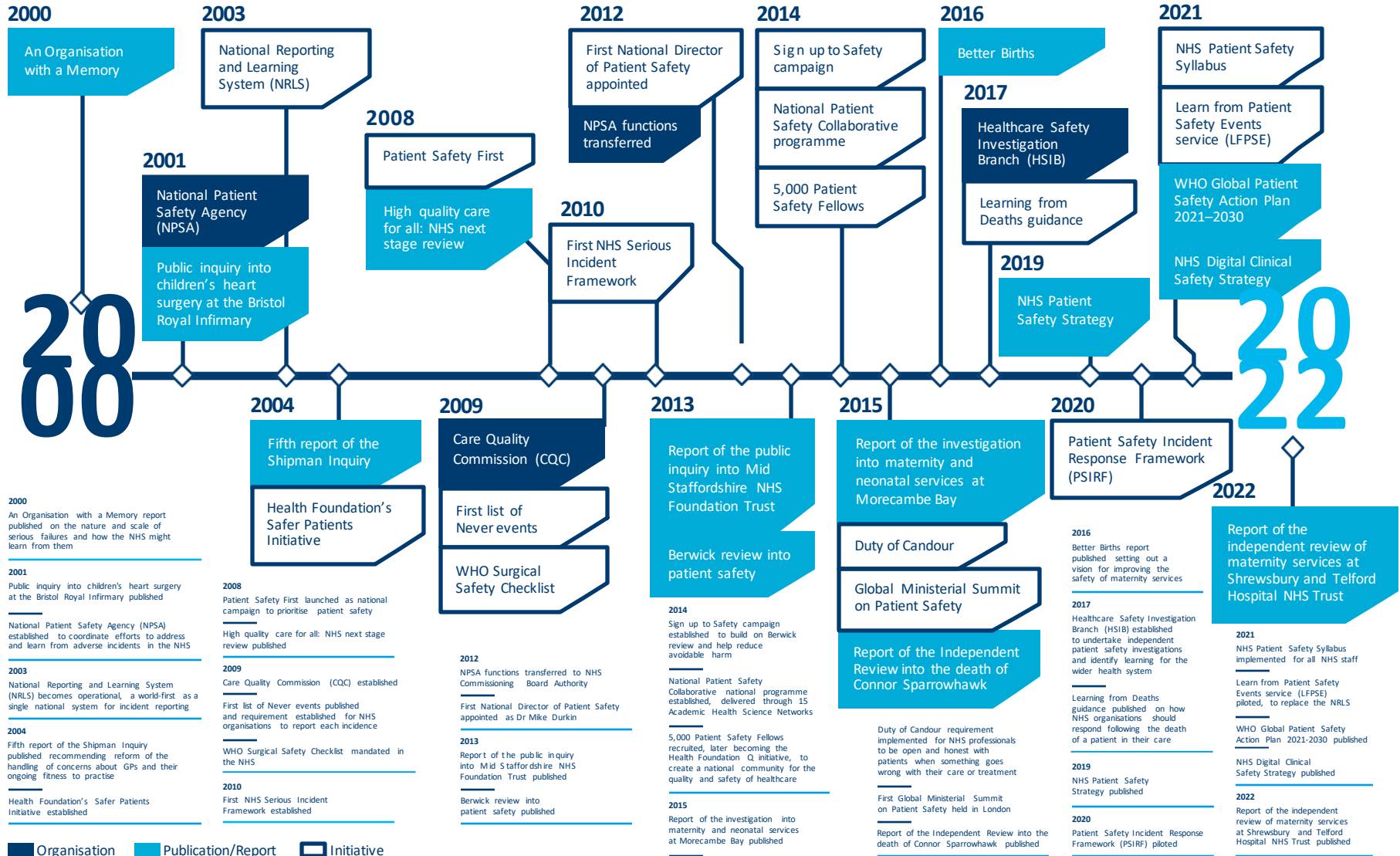


20.8% reduction since the outset



# A timeline of landmark events in patient safety in England

This timeline illustrates some of the landmark events in the evolution of patient safety in England since 2000, that have either accelerated progress or set a new direction for patient safety.



**2000**  
An Organisation with a Memory report published on the nature and scale of serious failures and how the NHS might learn from them

**2001**  
Public inquiry into children's heart surgery at the Bristol Royal Infirmary published

National Patient Safety Agency (NPSA) established to coordinate efforts to address and learn from adverse incidents in the NHS

**2003**  
National Reporting and Learning System (NRLS) becomes operational, a world-first as a single national system for incident reporting

**2004**  
Fifth report of the Shipman Inquiry published recommending reform of the handling of concerns about GPs and their ongoing fitness to practise

Health Foundation's Safer Patients Initiative established

**2008**  
Patient Safety First launched as national campaign to prioritise patient safety

High quality care for all: NHS next stage review published

**2009**  
Care Quality Commission (CQC) established

First list of Never events published and requirement established for NHS organisations to report each incidence

WHO Surgical Safety Checklist mandated in the NHS

**2010**  
First NHS Serious Incident Framework established

**2012**  
NPSA functions transferred to NHS Commissioning Board Authority

First National Director of Patient Safety appointed as Dr Mike Durkin

**2013**  
Report of the public inquiry into Mid Staffordshire NHS Foundation Trust published

Berwick review into patient safety published

**2014**  
Sign up to Safety campaign established to build on Berwick review and help reduce avoidable harm

National Patient Safety Collaborative national programme established, delivered through 15 Academic Health Science Networks

5,000 Patient Safety Fellows recruited, later becoming the Health Foundation Q initiative, to create a national community for the quality and safety of healthcare

**2015**  
Report of the investigation into maternity and neonatal services at Morecambe Bay published

Duty of Candour requirement implemented for NHS professionals to be open and honest with patients when something goes wrong with their care or treatment

First Global Ministerial Summit on Patient Safety held in London

Report of the Independent Review into the death of Connor Sparrowhawk published

**2016**  
Better Births report published setting out a vision for improving the safety of maternity services

**2017**  
Healthcare Safety Investigation Branch (HSIB) established to undertake independent patient safety investigations and identify learning for the wider health system

Learning from Deaths guidance published on how NHS organisations should respond following the death of a patient in their care

**2019**  
NHS Patient Safety Strategy published

**2020**  
Patient Safety Incident Response Framework (PSIRF) piloted

**2021**  
NHS Patient Safety Syllabus implemented for all NHS staff

Learn from Patient Safety Events service (LFPSE) piloted, to replace the NRLS

WHO Global Patient Safety Action Plan 2021-2030 published

NHS Digital Clinical Safety Strategy published

**2022**  
Report of the independent review of maternity services at Shrewsbury and Telford Hospital NHS Trust published

<sup>1</sup> Derived in part from: Sirs C. NHS Patient Safety Timeline. Available from: <https://warwick.ac.uk/fac/ans/history/chm/research/current/hazardoushospitals/natie-nt-safety-timeline/> [Accessed 26 July 2022].

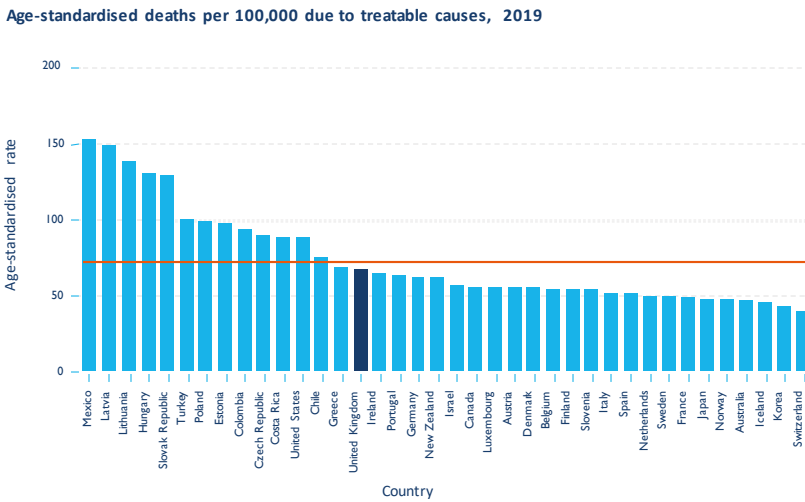
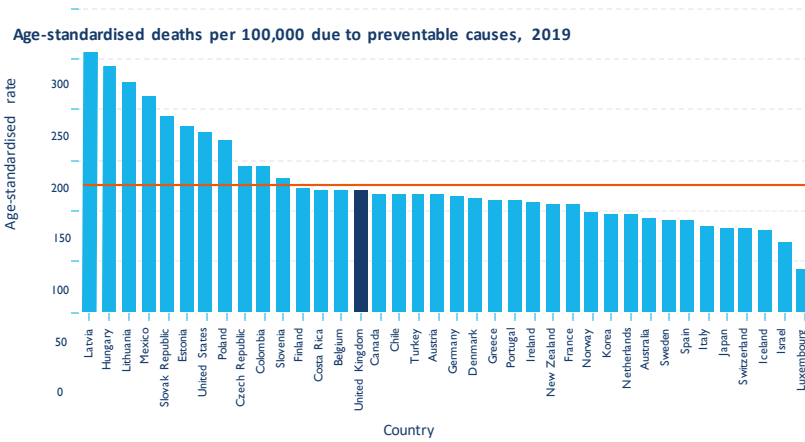


Figure 2: Age-standardised deaths per 100,000 due to preventable and treatable causes in OECD countries. Red horizontal lines indicates the average across all OECD countries. Note: UK figure was calculated using up-to-date data from the Office for National Statistics using the same OECD methodology.



In 2020, it was estimated that **237 million medication errors** occur in England each year, contributing to more than **1,700 deaths**<sup>1</sup>

In 2020, there were estimated to be between **19,800–32,200** cases of ‘probably avoidable’ significant harm to patients in primary care in England each year.



In 2020/21, the cost of clinical negligence claims incurred as a result of incidents was **£7.9 billion**<sup>2</sup>



In March 2022, the total number of people waiting for planned care reached **6.3 million**<sup>3</sup>

As of July 2021,



of maternity services in England were rated as “inadequate” or “requires improvement” for safety by the Care Quality Commission (CQC).<sup>4</sup>

For the period April–June 2022, there was a shortage of more than **132,000** full-time equivalent healthcare staff - a vacancy rate of **9.7%**.<sup>5</sup> 



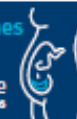
In 2019, **two in five patients** in hospital did not agree there were always enough nurses on duty to care for them.<sup>6</sup>

**40%** 

In 2019, **40%** of staff reported feeling unwell as a result of work-related stress. This rose to nearly **47%** in 2021.<sup>7</sup>

**47%** 

Between 2017–19, Black women were **four times more likely**, and Asian women or women of Mixed ethnicity were **twice as likely**, than white women, to die during pregnancy or childbirth.<sup>8</sup>



Unsafe care is one of the

**top 10**

leading causes of death in the world <sup>2</sup>



of harm is **avoidable** <sup>3</sup>

It is estimated that

**1 in 4**

patients are harmed whilst receiving primary and ambulatory care <sup>7</sup>



“As of May 2019, patient safety has been enshrined as a global health priority via a World Health Assembly Resolution”

In 2013, over **420 million hospitalisations** each year around the world resulted in nearly

**43 million** adverse events <sup>8</sup>

In hospital care, it is estimated that



of adverse events occur in **LMIC\*** contexts <sup>8</sup>

\*LMIC = Low and middle income countries

“Patient safety is not a luxury...it is the cornerstone of quality care everywhere”

“Ultimately, unsafe healthcare accounts for more lives lost than either lung cancer, diabetes or road injuries”

Poor quality care accounts for

**10% to 15%**

of the total deaths in **LMICs** <sup>2</sup>

Of the more than 130 million births occurring each year

**2.6 million**

result in stillbirth, and another

**2.7 million**

result in a newborn death within the first 28 days of birth <sup>12</sup>

Every day

**830**

women die from preventable causes related to pregnancy and childbirth <sup>11</sup>

Nearly

**600**

frontline maternity staff in the **UK** demonstrated a palpable concern for safety <sup>14</sup>

In the US alone, the **economic cost of unsafe care** has been estimated at

**\$1 trillion**

putting the global figure in the

**MULTI trillions<sup>3</sup>**

The **direct costs** associated with tests, treatments and care required **following harm in the primary and ambulatory setting** is estimated at

**2.5%** of total health expenditure <sup>7</sup>

**Medication errors alone** across the world account for

**\$42 billion** annually <sup>15</sup>

Harm often results in **hospitalisations**, accounting for over

**6%** of hospital bed days

and more than

**7 million** admissions

in OECD countries annually <sup>7</sup>

**“The economic case for safety is two-fold: first, the impact of harm has costly implications for remediate health and productivity loss; second, the initiatives dedicated to rectifying unsafe practice can be resource intensive and require detailed scrutiny to ensure their effectiveness.”**

In the US, where investments have been made to improve safety, it is estimated that

**\$28 billion**

has been saved in **5 years** <sup>3</sup>

The annual cost of common adverse events in England is equivalent to hiring

**2,000**  
GPs

or **3,500**  
hospital nurses <sup>3</sup>

Estimates suggest that in **developed countries** the cost of harm in primary and ambulatory care can approach

**3%** of GDP <sup>7</sup>

In NHS **maternity services**, claims relating to **medical negligence** amount to

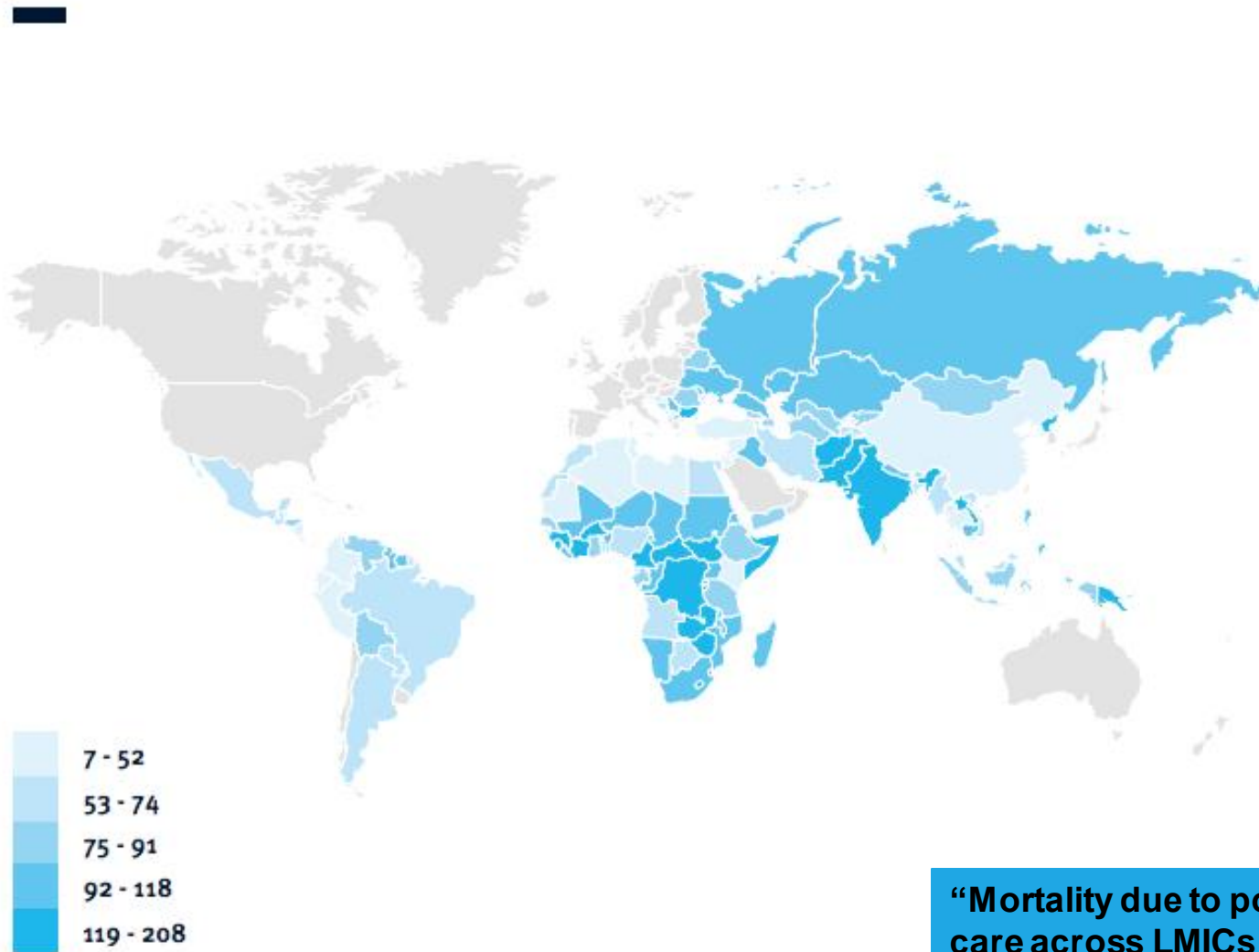
**£2.1 billion**

while **£1.9 billion** was spent on delivering babies in maternity care <sup>3</sup>

**“15% of all acute care activity is caused by harm occurring in hospitals .”**

**“From a patient perspective, the personal experience of harm can be catastrophic in terms of trauma, both physical and psychological.”**

## Mortality due to poor-quality healthcare per 100,000 people by country

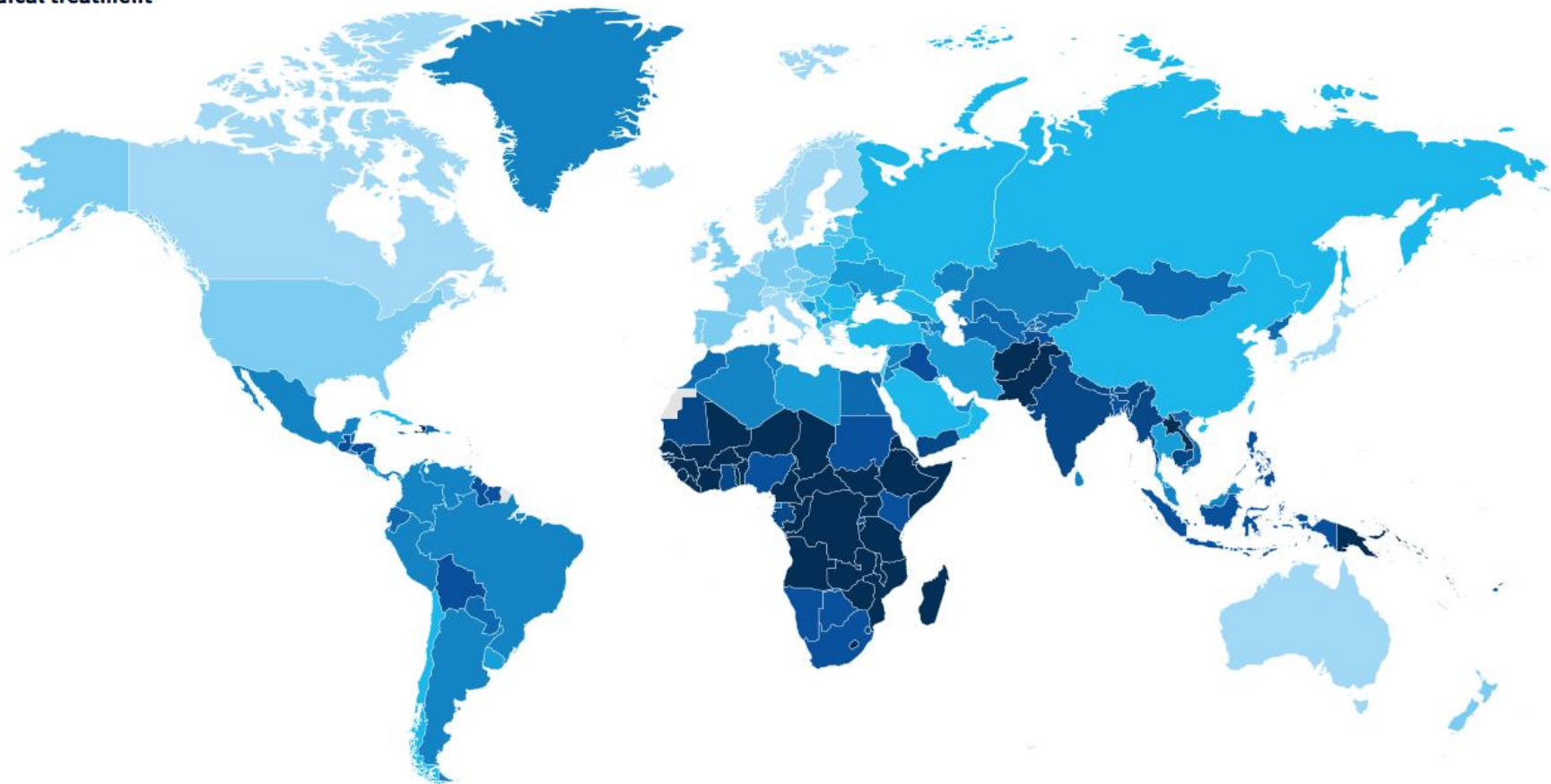


**“Mortality due to poor-quality care across LMICs was 82 deaths per 100,000 population”**

**Figure 1.** Mortality due to poor-quality health care by country. Reproduced from Kruk et al., 2018. <sup>40</sup>



## Rates of death due to adverse medical treatment



“Five countries represent the pinnacle of global safety...:

- Finland
- Netherlands
- New Zealand
- Norway
- Singapore”

### HAQ Index deciles

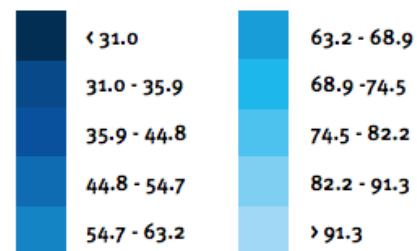
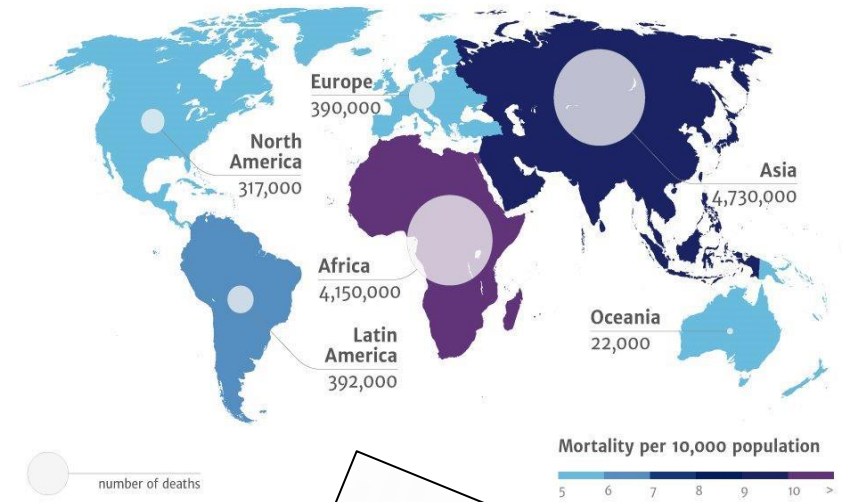
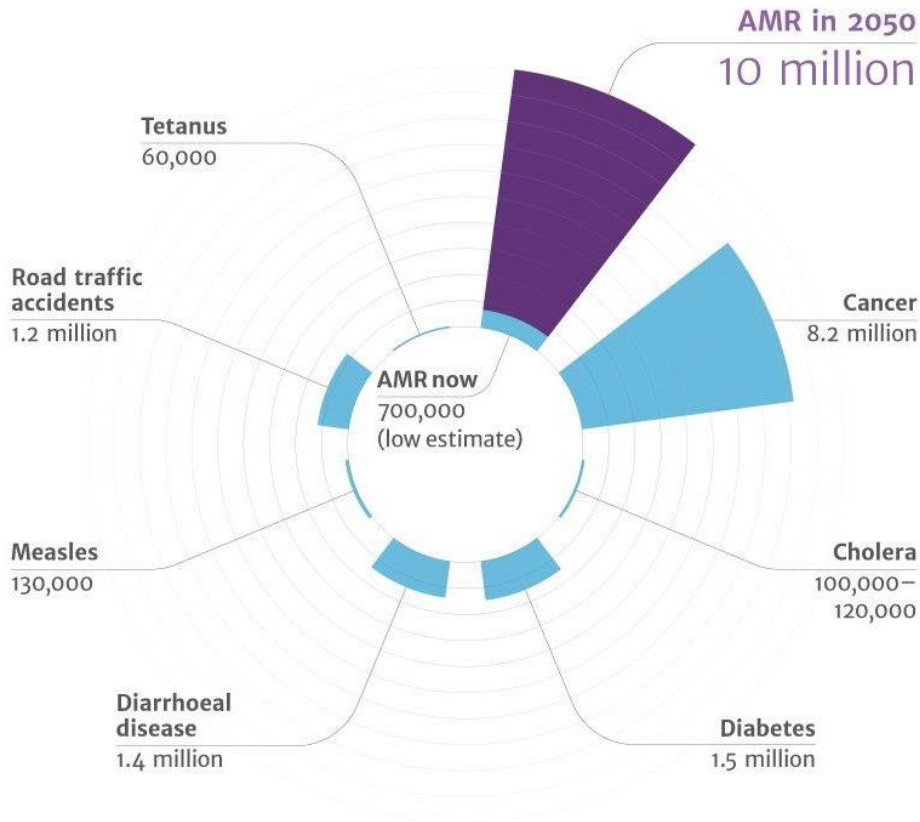


Figure 3. Rates of death due to adverse medical treatment.

Reproduced from GBD et al., 2016 with direct support from Prof Rafael Lozano.<sup>41</sup>

# AMR – a global healthcare threat



# Understanding Communication



- “Never assume communication has taken place.
- Never assume understanding has taken place.”



# Seven features of safety in maternity units: a framework based on multisite ethnography and stakeholder consultation

Elisa Giulia Liberati <sup>1</sup>, Carolyn Tarrant,<sup>2</sup> Janet Willars,<sup>2</sup> Tim Draycott,<sup>3,4</sup> Cathy Winter,<sup>4</sup> Karolina Kuberska,<sup>1</sup> Alexis Paton,<sup>5</sup> Sonja Marjanovic,<sup>1,6</sup> Brandi Leach,<sup>6</sup> Catherine Lichten,<sup>6</sup> Lucy Hocking,<sup>6</sup> Sarah Ball,<sup>6</sup> Mary Dixon-Woods,<sup>1</sup> The SCALING Authorship Group

► Additional material is published online only. To view please visit the journal online (<http://dx.doi.org/10.1136/bmjqs-2020-010988>).

<sup>1</sup>THIS Institute (The Healthcare

## ABSTRACT

**Background** Reducing avoidable harm in maternity services is a priority globally. As well as learning from mistakes, it is important to produce rigorous descriptions of 'what good looks like'.

**Objective** We aimed to characterise features of safety

outcomes.<sup>1-4</sup> Avoidable harm in childbirth can have devastating consequences for families,<sup>5,6</sup> and is an increasingly important driver of cost pressures in health systems through claims for negligence/<sup>1,7,8</sup>

Commitment to safety and improvement  
at all levels, with everyone involved

Multiple problem-sensing systems, used as basis of action

Technical competence, supported by formal training and  
informal learning

Teamwork, cooperation, and positive working relationships

Constant reinforcing of safe, ethical, and respectful behaviours

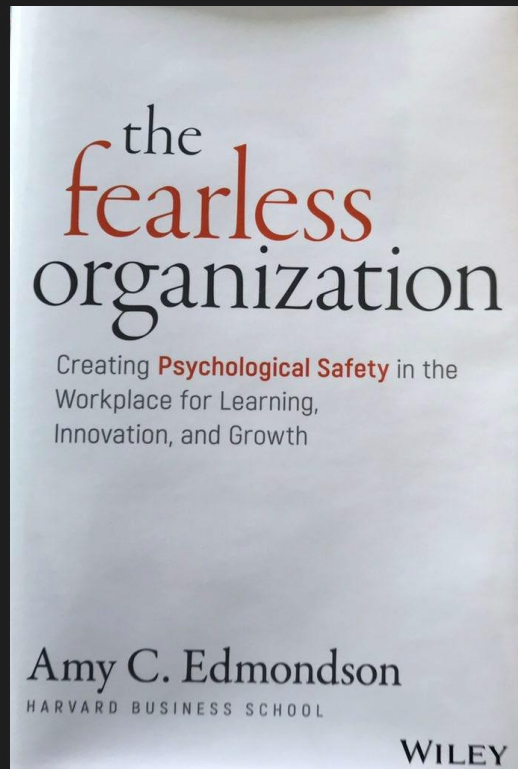
Systems and processes designed for safety, and regularly  
reviewed and optimised



# Compassionate Leadership



- “Compassionate leadership enhances the intrinsic motivation of NHS staff and reinforces their fundamental altruism. **It helps to promote a culture of learning, where risk-taking (within safe boundaries) is encouraged and where there is an acceptance that not all innovation will be successful – an orientation diametrically opposite to a culture characterised by blame, fear and bullying.**
- “Compassion also creates psychological safety, such that staff feel confident in speaking out about errors, problems and uncertainties and feel empowered and supported to develop and implement ideas for new and improved ways of delivering services. **They also work more co-operatively and collaboratively in a compassionate culture, in a climate characterised by cohesion, optimism and efficacy.**”

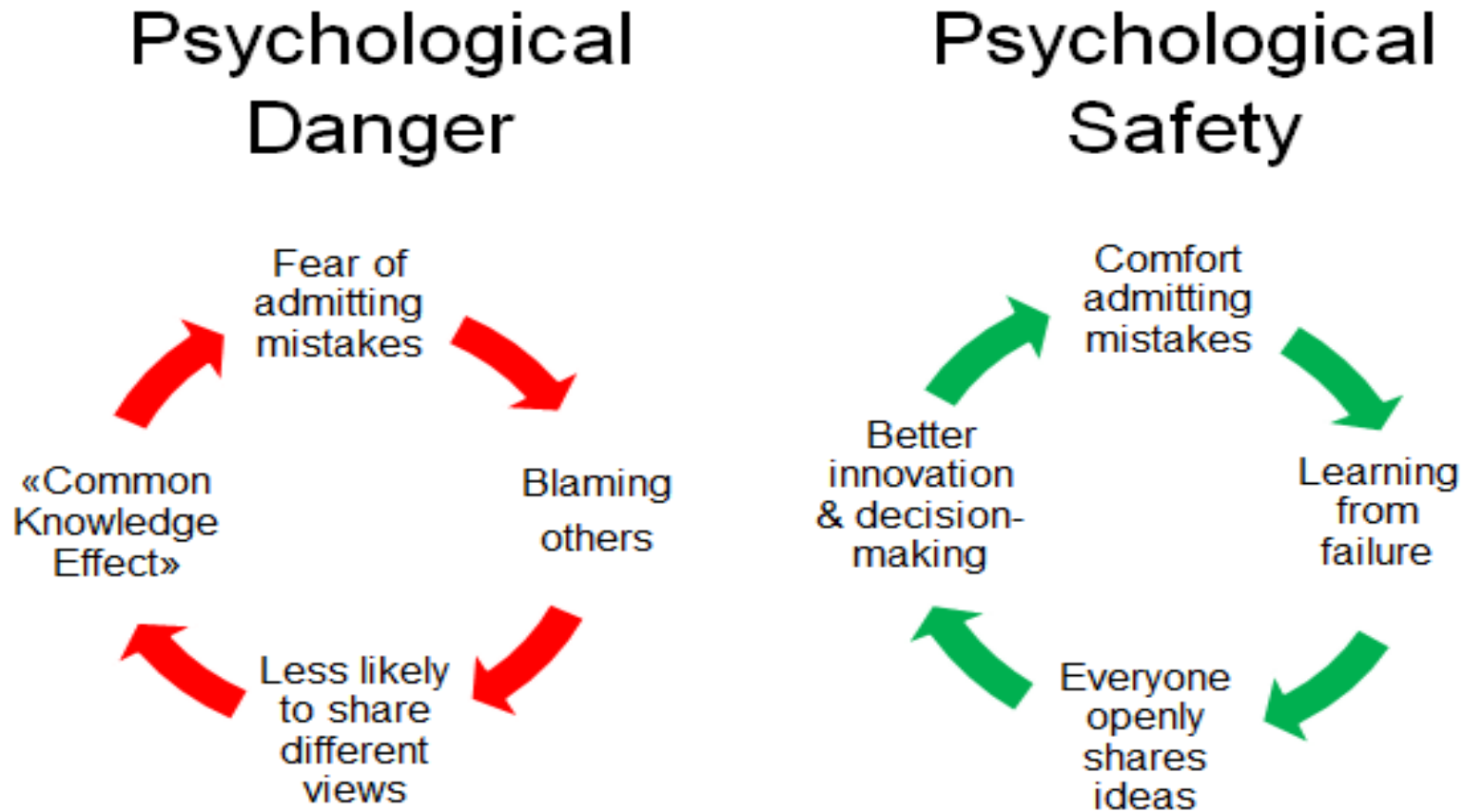




Wellcome Images



# Creating Psychological Safety



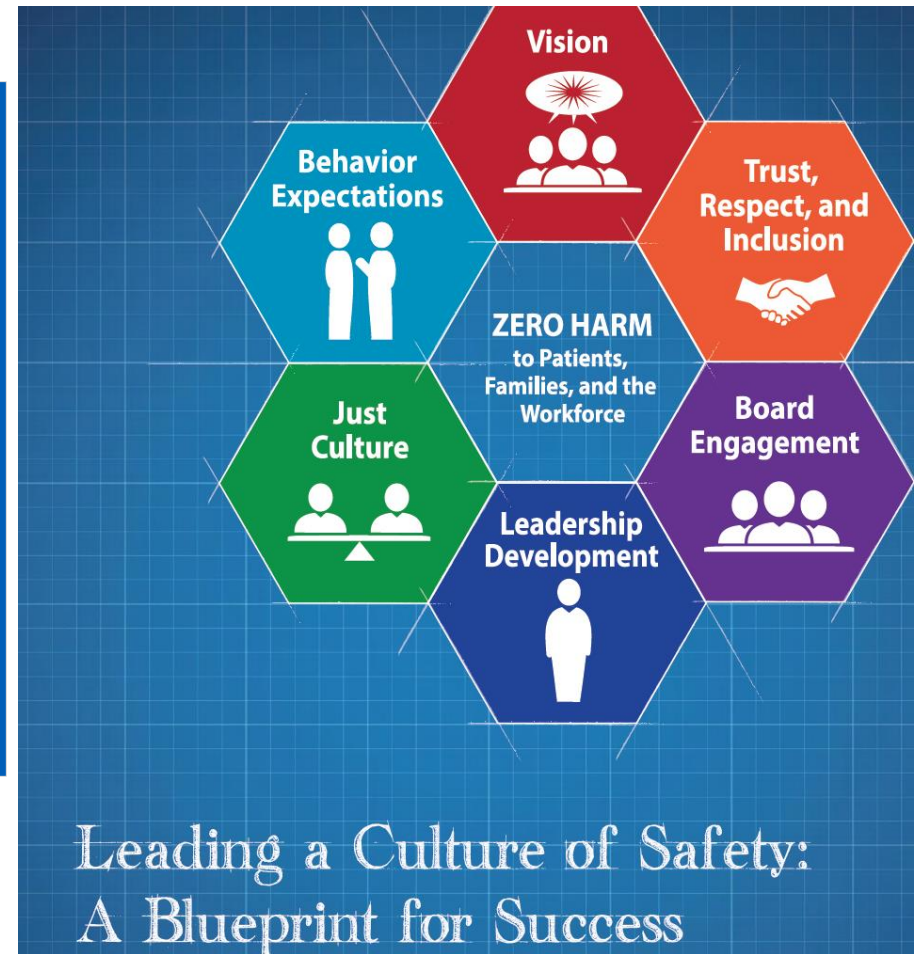
# Leading a culture of safety: Lucian Leape Institute



*“...the aim of leadership is not merely to find and record failures of men, but to remove the causes of failure: to help people to do a better job with less effort.”*

*“In God we trust, all others bring data.”*

*Dr W. Edwards Deming*



Leading a Culture of Safety:  
A Blueprint for Success

## Leading a Culture of Safety: A Blueprint for Success



### Value Trust, Respect, and Inclusion

The actions of leaders must be consistent over time and throughout the organization. Behavioral standards and expectations should apply to everyone, without exception. Respect for others—is essential for creating and sustaining trust. Developing and holding all leaders and the workforce accountable to codes of conduct or code of ethics can help to solidify the practices and behaviors that encourage trust and respect (Chassin and Loeb 2013).

Beyond modeling behaviors of respect themselves, leaders may need to institute ongoing education for volunteers, students, clinicians, and the workforce about appropriate behavior, and continue to actively encourage changes designed to increase fairness, transparency, collaboration, inclusion, and individual responsibility (Leape et al., 2012).

In pursuing safety as a core value, trust, respect, and inclusion are fostered by CEOs who make and keep commitments to the workforce, who communicate when a problem cannot be fixed immediately, who consistently display a sense of fairness, and who engage in and encourage reciprocal, helping behavior throughout the organization.

CEOs must also display their trust in others. Creating a strong team enables leaders to have confidence in delegating decisions and authority, though trust does not mean believing nothing will ever go wrong. Leaders can expect to continually work on building, sustaining, or repairing trust.

### Cultural Diversity and Respect in the Workplace

It is imperative that CEOs understand the cultural makeup of both the community and the organizations in which they serve. Implementing and modeling behaviors that reflect a respectful and inclusive environment is essential to a culture of safety. This should include placing a high value on the positive impact of greater diversity and inclusion among leadership as well as the workforce. It should also include efforts to evaluate and eliminate disparities in patient care, Unleashing the potential of workforce diversity depends on the establishment of trust and inclusion, the building of skills and competencies, and training. An inclusive environment is an inclusive organization. With cultural diversity can lead to creative organizational solutions to identify opportunities of care.

## Leading a Culture of Safety: A Blueprint for Success



### Lead and Reward a Just Culture

The hard work of establishing a just culture, however, goes well beyond agreeing to the concept itself. It involves incorporation of expertise in human factors engineering and systems design, full support and resources from the CEO and all leadership, and full engagement of departments such as Human Resources and Organizational Development. It also requires robust reporting systems with mechanisms in place to provide timely feedback to the workforce about not only what went wrong, but why it went wrong. This feedback also includes strong action plans to prevent future occurrence. Developing a just culture policy is just the first step, and organization-wide, systemic implementation is key.

While training of leaders and the patient safety workforce on just culture is vital, everyone at all levels of the organization must consistently integrate just culture principles as an organizational norm. The CEO's role in ensuring that just culture principles are understood and implemented across the organization is fundamental to success. If one individual within the organization is punished for a system flaw, just culture efforts can be severely undermined. Leaders must be transparent with the Board, physicians, the workforce, and the public about the organization's approach, so that when something does go wrong, the response is expected, practiced, and applied uniformly throughout the organization.

### Just Culture Principles

Human behaviors within a just culture can be described as follows:

- HUMAN ERROR** = An inadvertent slip or lapse. Human error is expected, so systems should be designed to help people do the right thing and avoid doing the wrong thing.
   
Response: Support the person who made the error; investigate how the system can be altered to prevent the error from happening again.
- AT-RISK BEHAVIOR** = Consciously choosing an action without realizing the level of risk of an unintended outcome.
   
Response: Counsel the person as to why the behavior is risky; investigate the reasons they chose this behavior, and enact system improvements if necessary.
- RECKLESS BEHAVIOR (NEGLIGENCE)** = Choosing an action with knowledge and disregard of the risk of harm.
   
Response: Disciplinary action.

## Establish Organizational Behavior Expectations



### Establish Organizational Behavior Expectations

Organizational safety behavior expectations are the daily demonstration of a true culture of safety. CEOs work with leaders and the workforce to develop these expectations and to personally demonstrate expected behaviors, while holding the leadership team accountable for doing the same.



### Organizational Readiness Level

Tactics	Foundational	Sustaining
Examples of tactics that may be implemented to create change at each of these levels	<b>To engage your organization:</b> <ul style="list-style-type: none"> <li>Complete culture of safety surveys every 12-18 months and review with Board, leadership teams, and improvement and safety metrics to address the organization's community and develop plans to address any gaps with patients, communication, and read back, "stop the line," briefings, and de-briefings.</li> <li>Require, participate in, and give feedback for existing safety processes, operational briefings, and use these forums as forums to build better teamwork and safety culture.</li> </ul>	<b>To engage your organization:</b> <ul style="list-style-type: none"> <li>Require annual signatures on compacts for Board members, clearly define expected professional accountability behaviors.</li> <li>Educate and explain to your organization and the public what you will be transparent about, and what limits may exist on transparency.</li> <li>Design and implement a cross communications policy and plan for both internal and external audiences.</li> <li>Align and integrate organizational safety with all departments across the organization.</li> <li>Provide feedback to employees when they report a safety issue, closing the loop and demonstrating how frontline callouts improve safety and teams for demonstrating positive safety behaviors and reporting.</li> </ul>



### Select, Develop, and Engage Your Board

An engaged Board plays a key role in organizational culture and safety. The CEO encourages Board competencies and commitment regarding safety, while providing a transparent line of sight between the Board and the rest of the organization.



### Organizational Readiness Level

Tactics	Foundational	Sustaining
Examples of tactics that may be implemented to create change at each of these levels	<b>To engage your organization:</b> <ul style="list-style-type: none"> <li>Establish Board Quality and Safety Committee with oversight responsibility for culture change, safety, and performance improvement.</li> <li>Include an individual with safety and culture expertise on Board and appropriate committees, or ensure an advisor with these skills is</li> </ul>	<b>To engage your organization:</b> <ul style="list-style-type: none"> <li>Encourage the Board to link outcomes while ensuring metrics chosen do not discourage safety efforts.</li> <li>Include Board members on guided leadership rounds.</li> <li>Align Board dashboards to show leadership and safety metrics.</li> </ul>



Invest in resources for Board education

- Save committee regular staff resources on safety and culture committees
- Include clinical and safety expertise on all Board and committees
- Include Board members on rounds and to cross-learning opportunities
- Include a safety family member on all Board and committees

Bring patients to the Board to tell their stories

## Leading a Culture of Safety: A Blueprint for Success

# Patient safety goes beyond borders – we get better by sharing



*Improvement*



## Patient Safety

Global Ministerial Summit 2017



## Patient Safety

Global Action Summit 2016



Federal Ministry  
of Health



Department  
of Health



WHO Global Consultation

### Setting Priorities for Global Patient Safety

26-28 September 2016  
Florence, Italy

Organized by:  
 World Health Organization

In collaboration with:  
 **GRC** Global Risk Management  
PATIENT SAFETY  
WHO Collaborating Centre  
in Human Factors and Governance  
for the Delivery of Safe and Quality Care

## Patient Safety

### MOVEMENT

zero preventable deaths by 2020



# PaSQ

European Union Network  
for Patient Safety and  
Quality of Care

# WHO Global Patient Safety Challenge

*Medication Without Harm*

Global Launch, 29 March 2017

Medication Without Harm



Third Global Patient Safety Challenge



# WHO Global Challenge

**Reduce the level of severe, avoidable harm related to medications by 50% over 5 years, globally**

- **Early priority actions.**
  - high-risk situations
  - polypharmacy
  - transitions of care

## Medication Without Harm



WHO Global Patient Safety Challenge



## EDITORIALS

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# The Tokyo Declaration on patient safety

A new partnership between health workers and patients to promote safer care.

*Kelsey Flott centre manager, Mike Durkin senior adviser on patient safety and leadership, Ara Darzi director*

Imperial College London, UK

The 3rd Ministerial Summit for Patient Safety was held in Tokyo in April this year, with delegations from over 40 countries. The summit coincided with the publication of an Organisation for Economic Co-operation and Development (OECD) report, *Flying Blind*, which made an economic case for extending the patient safety movement to primary and ambulatory care, and further compelled international political interest in safety.<sup>1</sup>

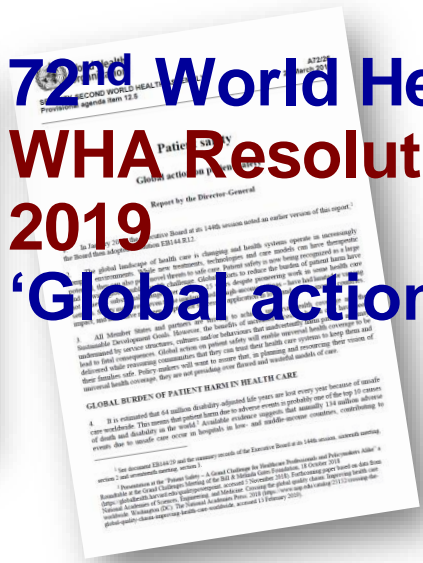
**We can no longer afford to ignore the burden of poor safety**

It sets out actions for ministers—chief among them a commitment to “high level political momentum” towards the delivery of safer care everywhere. Further actions include renewed support for the World Health Organization’s sustainable development goals and a proclamation to “align incentives, educate and train the healthcare workforce in patient safety, and engage patients and families.”

**Realising the declaration’s full potential will require**



# 72nd World Health Assembly WHA Resolution (WHA72.6) May 2019 'Global action on patient safety'



No one should be harmed  
while seeking care.  
**#PatientSafety**  
May 25, 2019



tion



#HealthForAll

- **#patientsafety** as a global health priority
- Concerted action to **reduce patient harm** in health care settings
- Historic establishment of **World Patient Safety Day** on 17 September





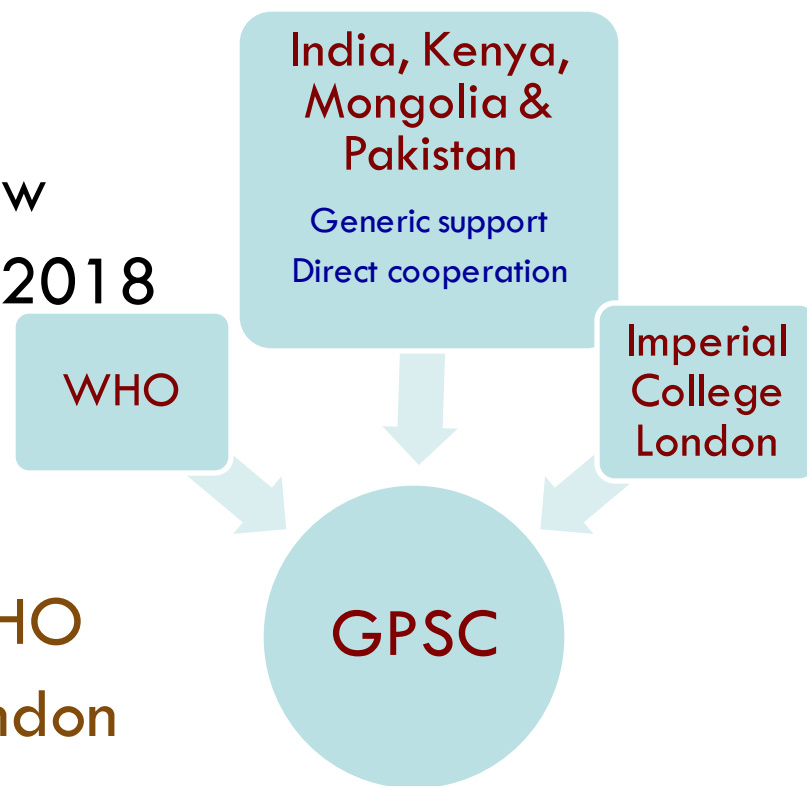
## The Mandate

## WHA 72.6: Global Action on Patient Safety

“to formulate a global patient safety action plan in consultation with Member States and all relevant stakeholders, including in the private sector, for submission to the Seventy-fourth World Health Assembly in 2021 through the 148th session of the Executive Board”

## A Global Programme of Change

- WHO and DHSC, UK have co-developed and established a new strategic initiative in September 2018
- Focuses on the needs of LMICs to improve patient safety
- Implementation managed by WHO and PSTRC, Imperial College London



“A great example of the partnership between international organisations, academic institutions and emerging leaders in LMICs is the recently established Global Patient Safety Collaborative (GPSC)”

“The PSTRC will help facilitate and support the implementation of patient safety initiatives in the four countries, as well as sharing their knowledge on patient safety to successfully deliver the three capacity building programmes.”



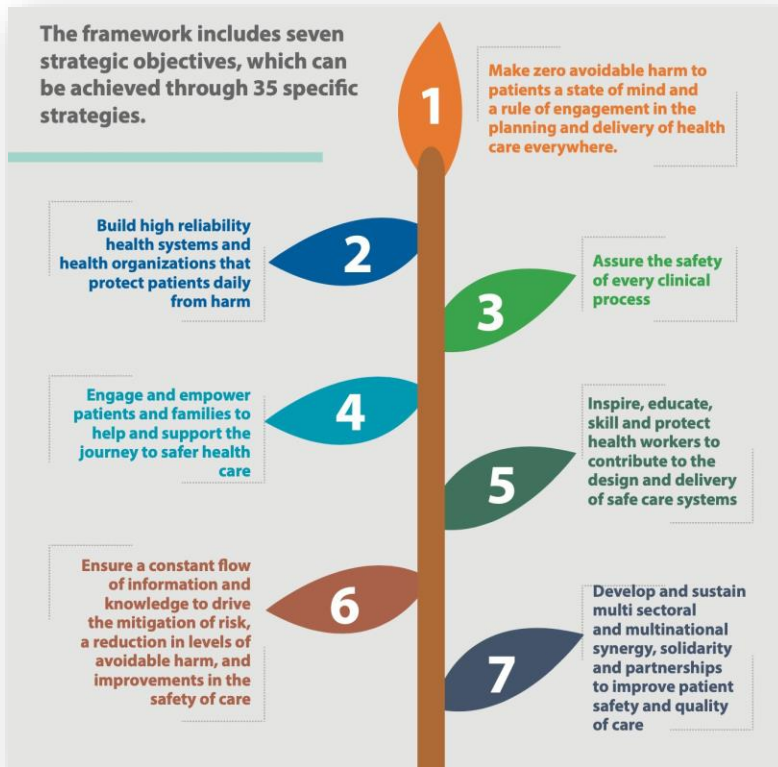
**This programme will initially focus on three strategic objectives:**

- **Strengthen leadership in patient safety**
- **Develop knowledge base, expertise and skills in patient safety**
- **Promote and conduct targeted research in patient safety and build research capacity**

Global Patient Safety action Plan 2021–2030

# Towards eliminating avoidable harm in health care





## Framework for Action

1. Policies for zero patient harm
2. High reliability systems
3. Safety of clinical processes
4. Patient and family engagement
5. Health worker education, skills and safety
6. Information, research, risk management and improvement
7. Synergies, partnerships and solidarity

# Framework for action

1		Policies to eliminate avoidable harm in health care	1.1 Patient safety policy, strategy and implementation framework	1.2 Resource mobilization and allocation	1.3 Protective legislative measures	1.4 Safety standards, regulation and accreditation	1.5 World Patient Safety Day and Global Patient Safety Challenges
2		High-reliability systems	2.1 Transparency, openness and No blame culture	2.2 Good governance for the health care system	2.3 Leadership capacity for clinical and managerial functions	2.4 Human factors/ ergonomics for health systems resilience	2.5 Patient safety in emergencies and settings of extreme adversity
3		Safety of clinical processes	3.1 Safety of risk-prone clinical procedures	3.2 Global Patient Safety Challenge: <i>Medication Without Harm</i>	3.3 Infection prevention and control & antimicrobial resistance	3.4 Safety of medical devices, medicines, blood and vaccines	3.5 Patient safety in primary care and transitions of care
4		Patient and family engagement	4.1 Co-development of policies and programmes with patients	4.2 Learning from patient experience for safety improvement	4.3 Patient advocates and patient safety champions	4.4 Patient safety incident disclosure to victims	4.5 Information and education to patients and families
5		Health worker education, skills and safety	5.1 Patient safety in professional education and training	5.2 Centres of excellence for patient safety education and training	5.3 Patient safety competencies as regulatory requirements	5.4 Linking patient safety with appraisal system of health workers	5.5 Safe working environment for health workers
6		Information, research and risk management	6.1 Patient safety incident reporting and learning systems	6.2 Patient safety information systems	6.3 Patient safety surveillance systems	6.4 Patient safety research programmes	6.5 Digital technology for patient safety
7		Synergy, partnership and solidarity	7.1 Stakeholders engagement	7.2 Common understanding and shared commitment	7.3 Patient safety networks and collaboration	7.4 Cross geographical and multisectoral initiatives for patient safety	7.5 Alignment with technical programmes and initiatives

## Red (high risk: take immediate action)

Many (but not all) children with these features are seriously unwell and need to be assessed straight away in hospital. Dial '999' for an ambulance if necessary.

### Skin, lips and tongue

- Very pale or blue skin and sunken eyes
- Rash that does not fade when pressed firmly (use a clear glass)

### Activity

- Not responding to carers
- Very difficult to wake up
- Weak, high-pitched or continuous cry in younger children
- Older children are confused or unusually irritable

### Breathing

- Finding it much harder to breathe than normal
- Grunting breathing
- Very fast breathing: more than 60 breaths a minute
- Noticeable pauses in breathing

### Circulation

- Very cold hands and feet

### Temperature and body

- Under 3 months with raised temperature over 38°C
- The soft spot on an infant's head is bulging
- Stiff neck, especially when trying to look up and down
- The child has a seizure

### Vomiting, diarrhoea and hydration

- Very thirsty and not able to keep fluids down
- Bloody or black 'coffee ground' vomit
- Not had a wee for 12 hours

Notes

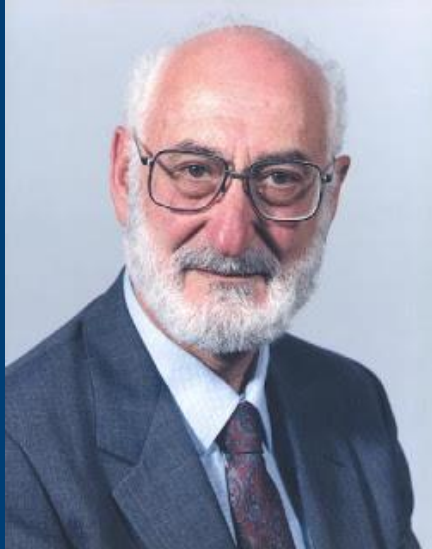
NHS

# SAM

## Sepsis Assessment & Management



What to look for if your child has a temperature and you are concerned



***“Systems awareness and systems design are important for health professionals, but they are not enough. They are enabling mechanisms only. It is the ethical dimensions of individuals that are essential to a system’s success. Ultimately, the secret of quality is love.”***

**Professor Avedis Donabedian**





• THANK YOU

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 [@Mike\\_Durks](https://twitter.com/Mike_Durks)